

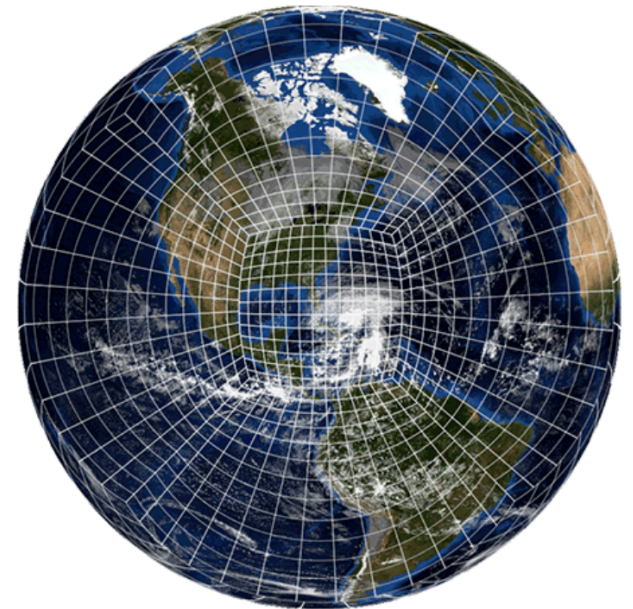
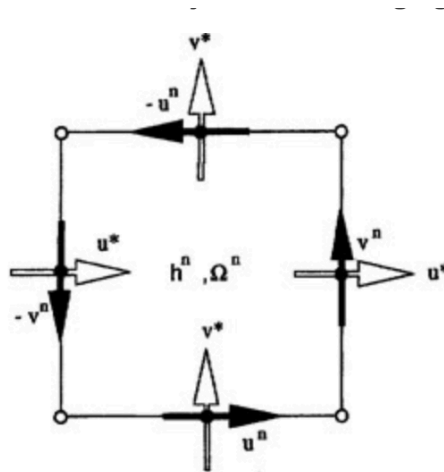
# AN INTRODUCTION TO THE FV3 DYNAMICAL CORE

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Timothy Chui

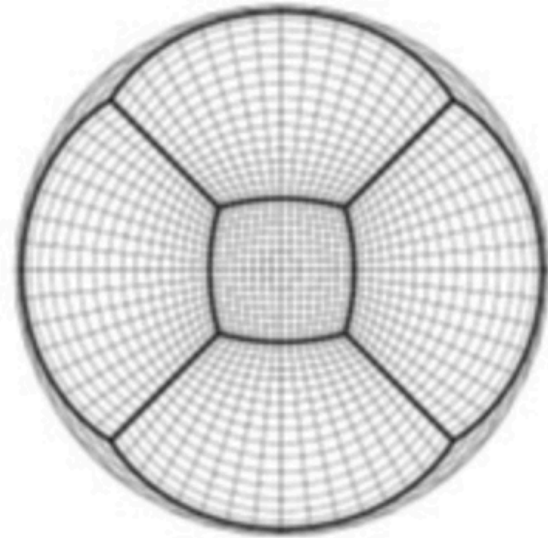
Mar. 24, 2020

<https://www.gfdl.noaa.gov/fv3/>



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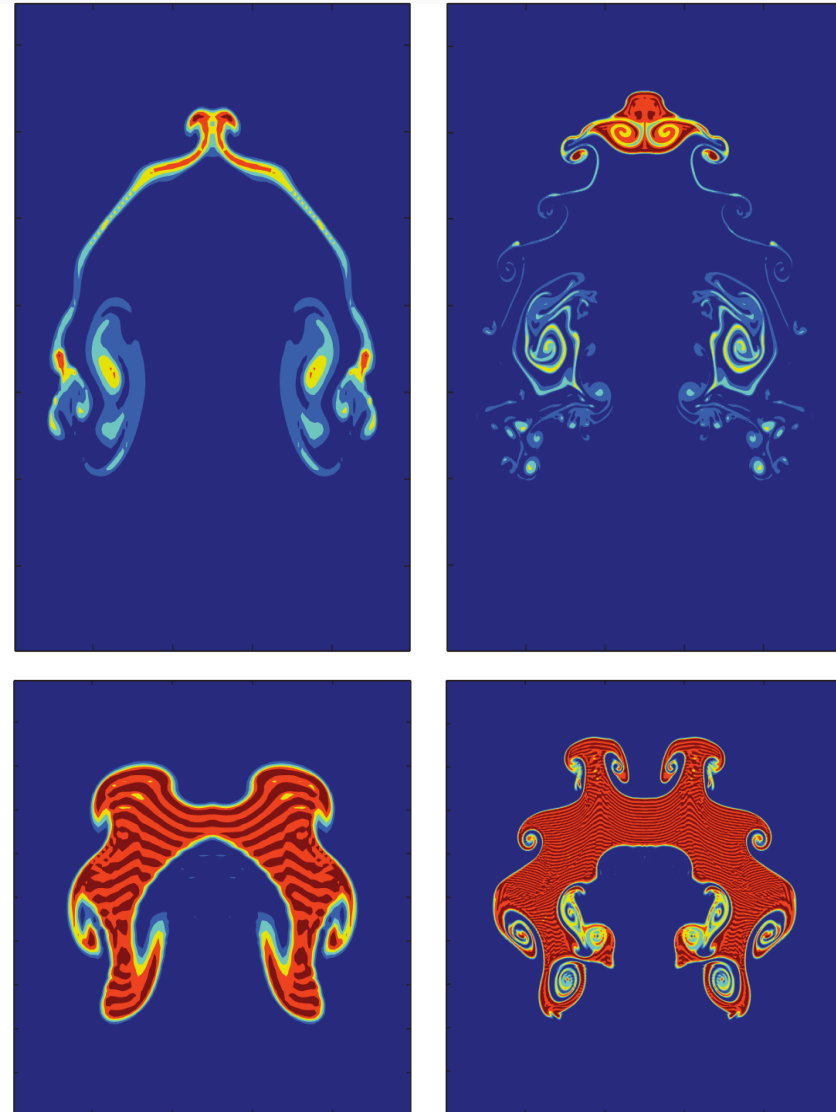
- Overview of the Finite-Volume Cubed-Sphere Dynamical Core (FV3)
- Cubed-Sphere Mesh
- (Simplified) FV3 Numerics
- FV3 Mesh Refinement
- Summary



<https://www.gfdl.noaa.gov/fv3/fv3-grids/>

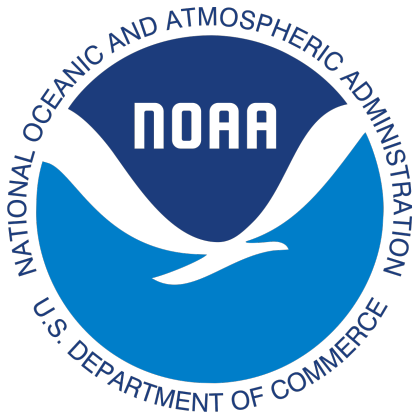
# Overview of FV3

- FV3 = Finite-Volume Cubed-Sphere Dynamical Core
- Developed from 1D/2D FV Core (Lin and Rood 1996)...
- ... into a lat-lon model (Lin 2004)...
- ...then finally a prototype 3-D cubed-sphere core (Putman and Lin 2007)



Chen et al. (2013)

# Overview of FV3



- Developed and maintained by the Geophysical Fluid Dynamics Laboratory (GFDL), under NOAA
- Replaced the spectral core in the Global Forecast System (GFS) in June 2019

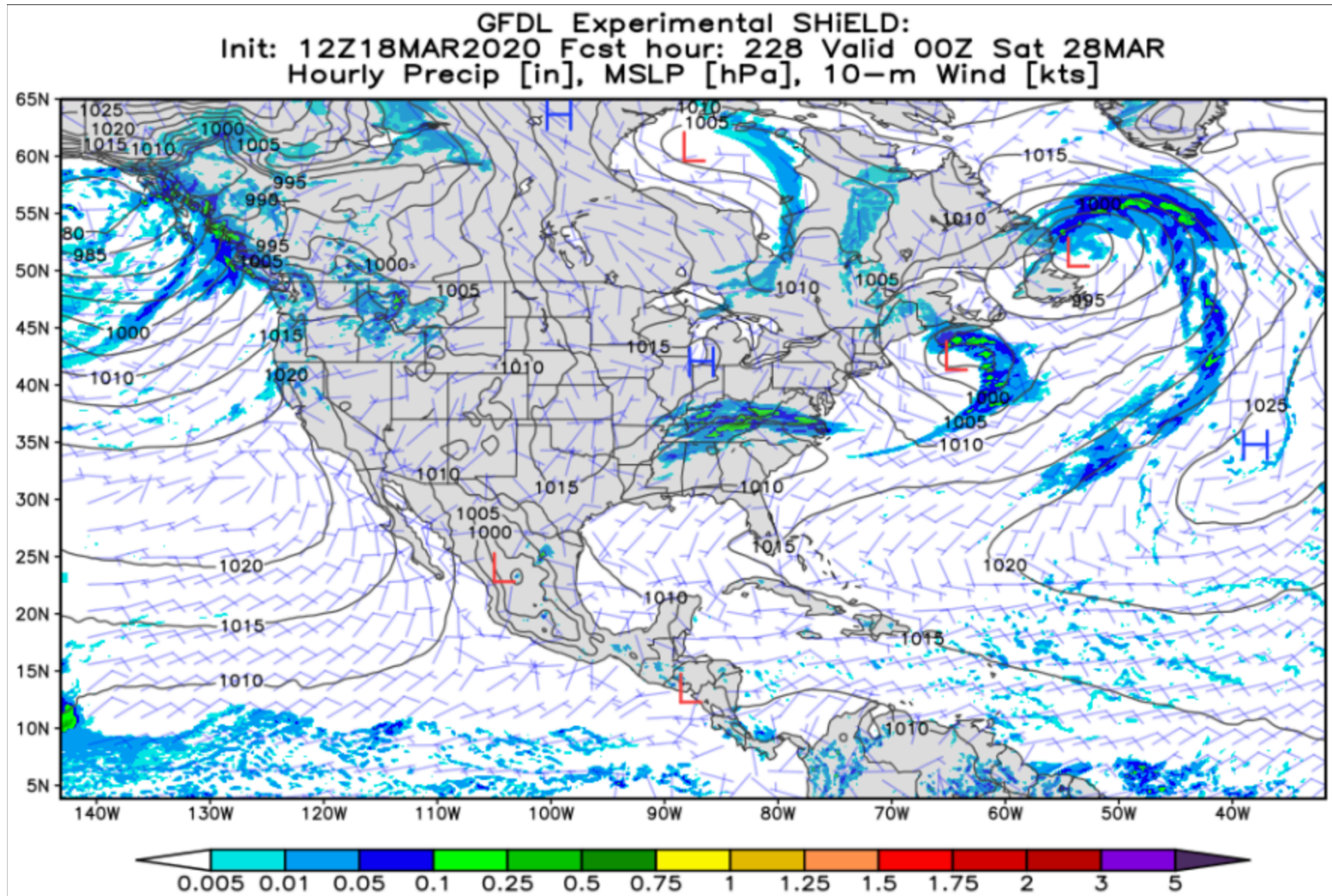


# Overview of FV3

Model	Dy-core	Ocean model	Land Model	Physics* Modification from AM2
<b>CM2.0/AM2.0</b>	Arakawa B grid	MOM4	LM2	-
<b>CM2.1/AM2.1</b>	FV	MOM4	LM2	-
<b>ESM2</b>	FV	MOM4/with TOPAZ	LM3	-
<b>CM2.5/FLOR</b>	FV3	MOM5	LM3	-
<b>CM3/AM3</b>	FV3	MOM5	LM3	Donner conv.; Full Chemistry
<b>CM4/AM4/SPEAR</b>	FV3	MOM6	LM4	Double-plume UW conv.; Fast Aerosols; Garner topo drag
<b>ESM4</b>	FV3	MOM6/with COBALT	LM4	Double-plume UW conv.; Full Chemistry; Garner topo drag
<b>HIRAM</b>	FV3 with non-hydro option	-	LM3	UW conv.; GFDL MP
<b>SHIELD (fvGFS)</b>	Non-hydro FV3	Mixed-layer Ocean	NOAH	GFS Physics w/ GFDL MP and other revisions

<https://www.gfdl.noaa.gov/fv3/fv3-applications/>

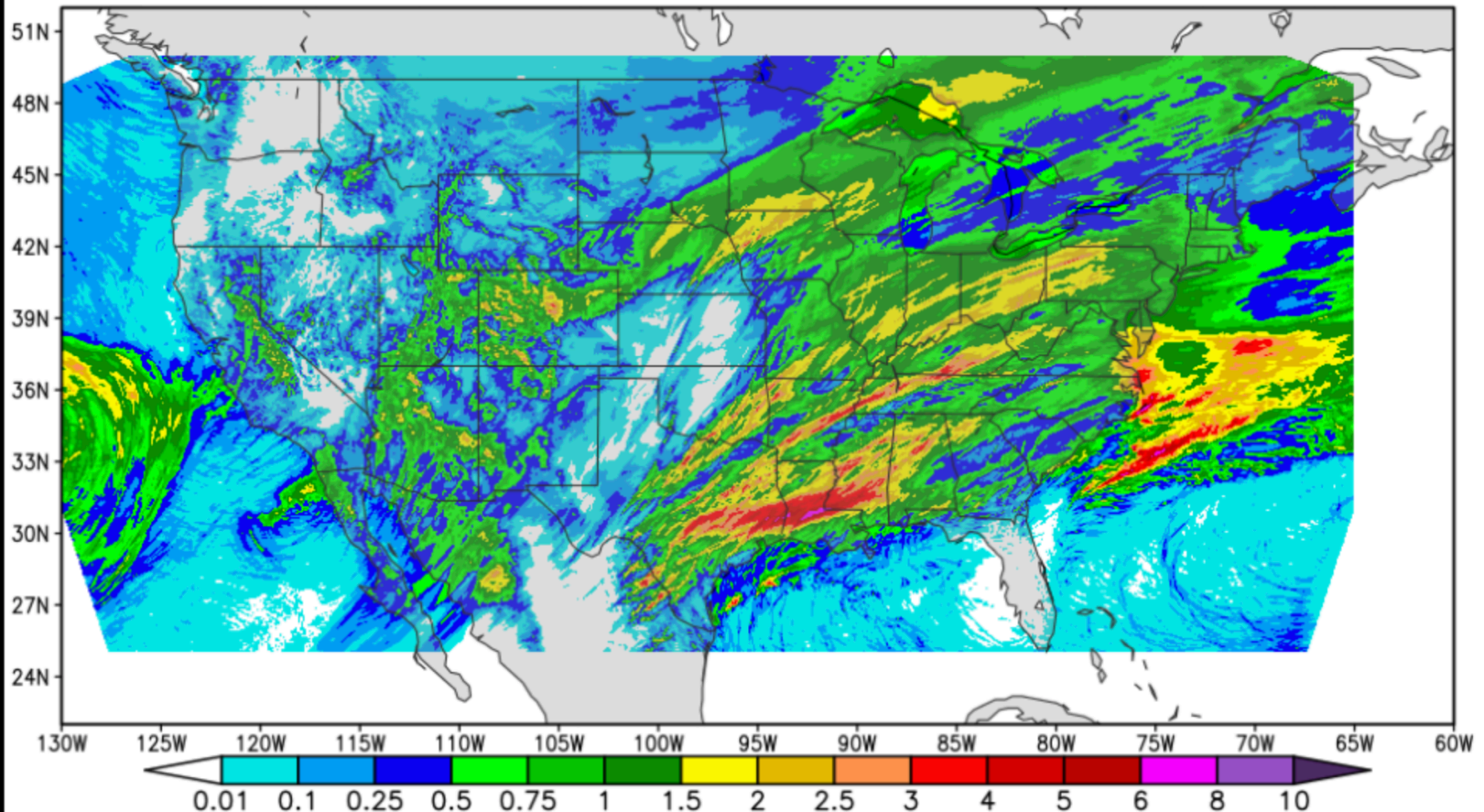
# Overview of FV3



[https://fv3.gfdl.noaa.gov/fvGFS\\_products.php?MODEL=2019v15G&YMDH=2020031812&Region=GoM&field=precip\\_slp\\_wind](https://fv3.gfdl.noaa.gov/fvGFS_products.php?MODEL=2019v15G&YMDH=2020031812&Region=GoM&field=precip_slp_wind)

# Overview of FV3

GFDL Experimental 3-km Nested C-SHiELD Total Precipitation Accumulation [in]  
Init: 12Z18MAR2020 Fcst hour: 126 Valid 18Z Mon 23MAR

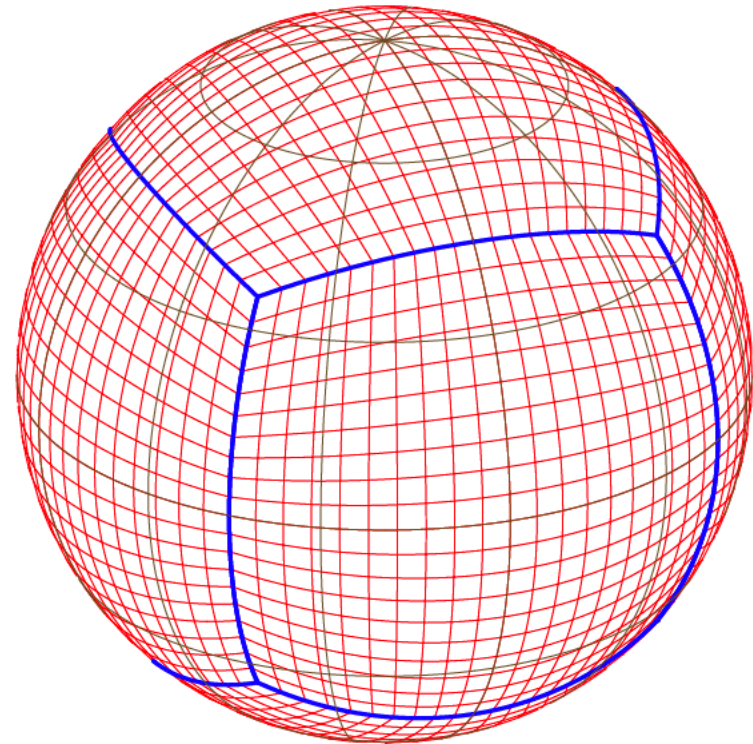


[https://fvgs.gfdl.noaa.gov/fvGFS\\_products.php?MODEL=C-SHiELD\\_FY2019&YMDH=2020031812&Region=CONUS&field=precip\\_accum](https://fvgs.gfdl.noaa.gov/fvGFS_products.php?MODEL=C-SHiELD_FY2019&YMDH=2020031812&Region=CONUS&field=precip_accum)



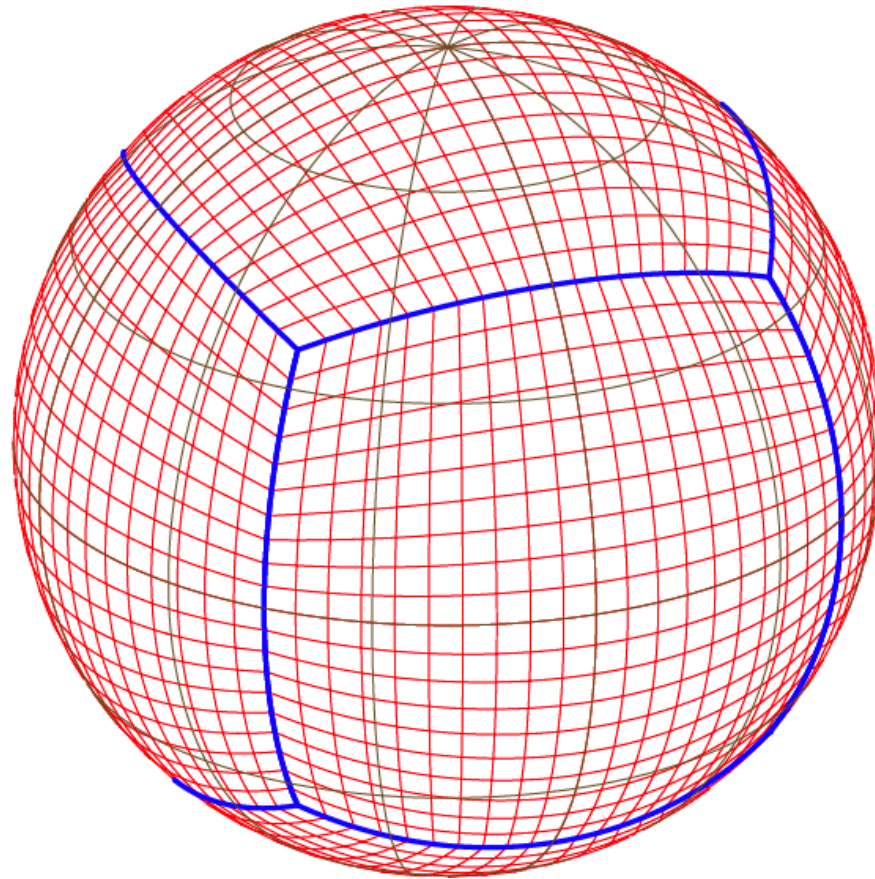
# Overview of FV3

- **Philosophy**
  - Based on physical principles
  - Efficient and scalable
- **Mesh**
  - Cubed-sphere
- **Horizontal**
  - Flux-Form Semi-Lagrangian (FFSL)
  - Compressible
  - CD-grid
- **Vertical**
  - Lagrangian
  - Non-hydrostatic
- **Physics**
  - GFS + GFDL MP + YSU PBL



[https://www.researchgate.net/figure/The-equiangular-gnomonic-cubed-sphere\\_fig1\\_319964216](https://www.researchgate.net/figure/The-equiangular-gnomonic-cubed-sphere_fig1_319964216)

# Cubed-Sphere Mesh

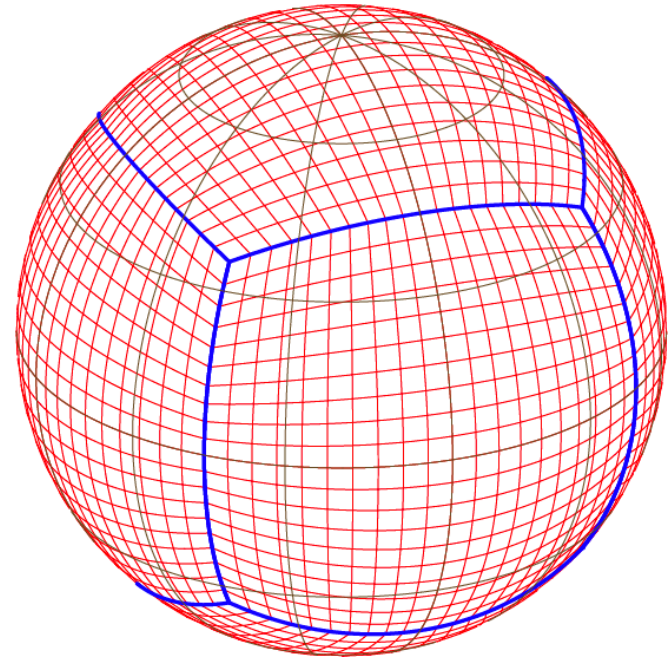


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# Cubed-Sphere Mesh

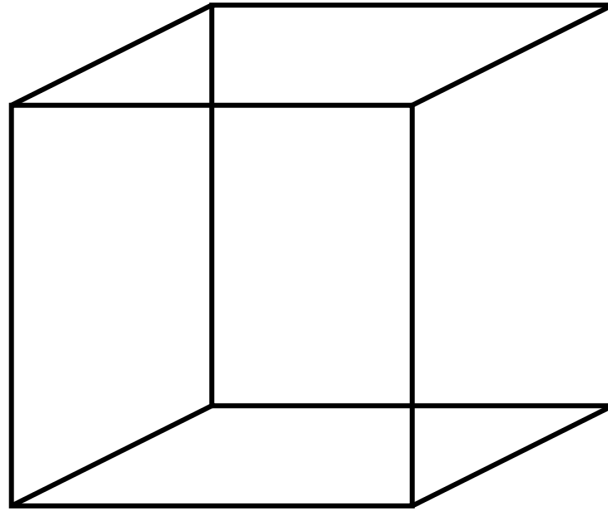
## Comparison with Geodesic (ICON)/Voronoi (MPAS) Meshes

- Not as uniform (cell shapes/sizes can vary a lot more)
- Easier to apply higher-order FV schemes (“logically rectangular”)
- Easier to support nesting

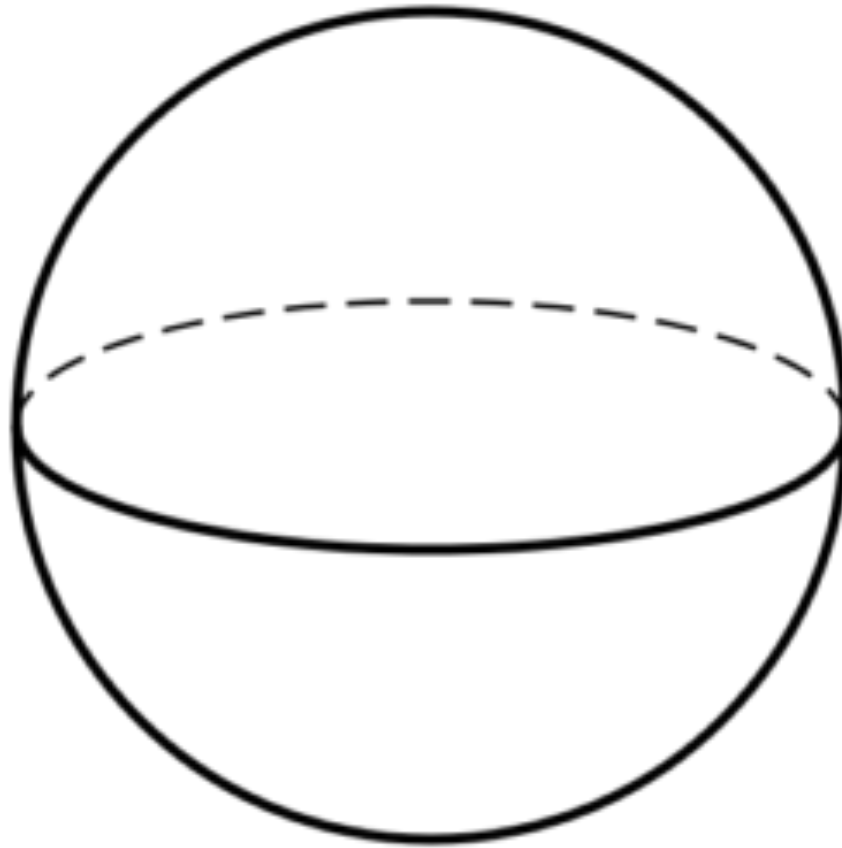


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# Cubed-Sphere Mesh

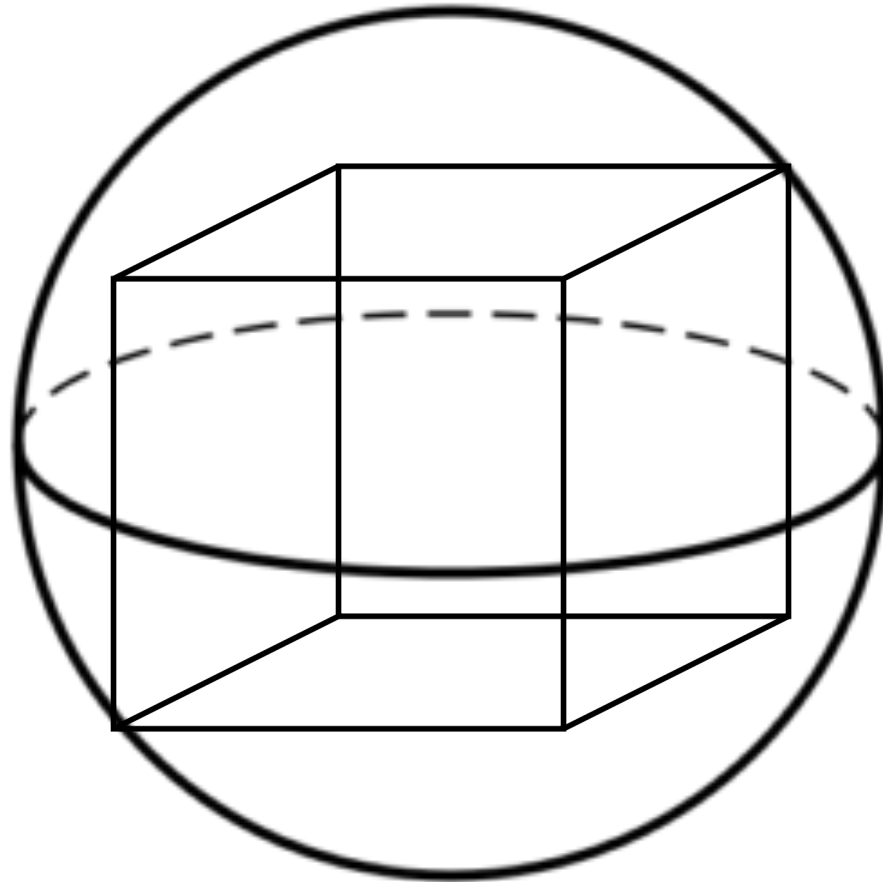


# Cubed-Sphere Mesh



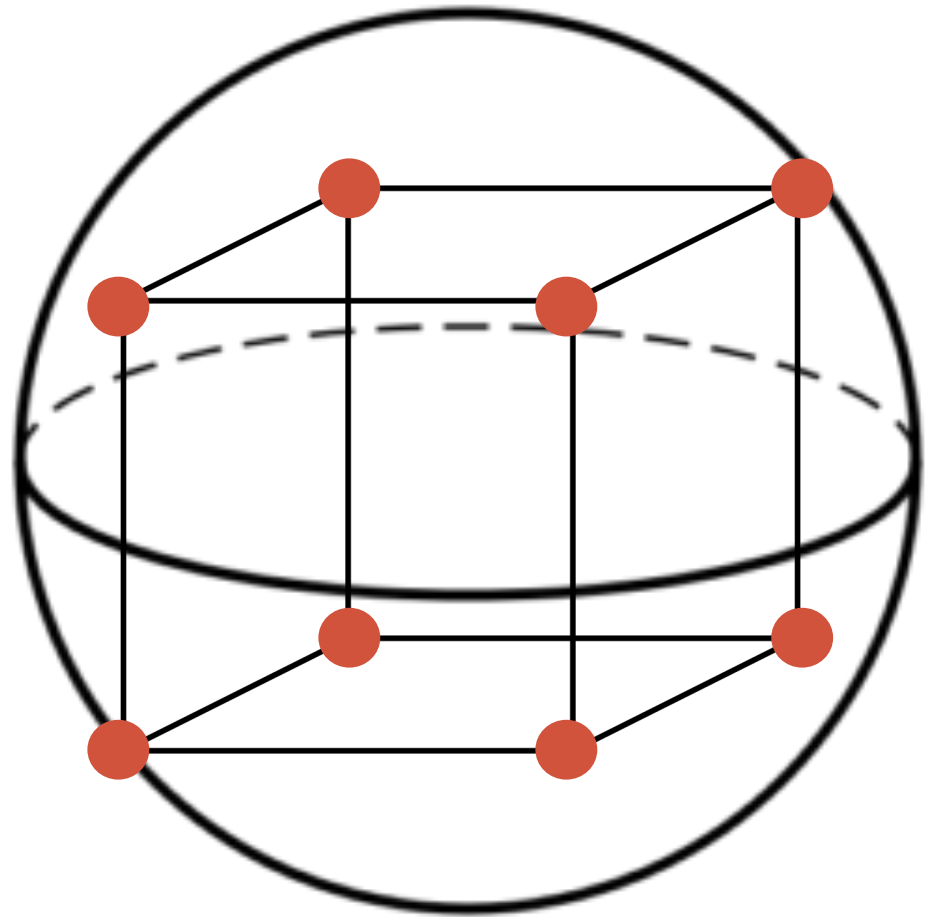


# Cubed-Sphere Mesh



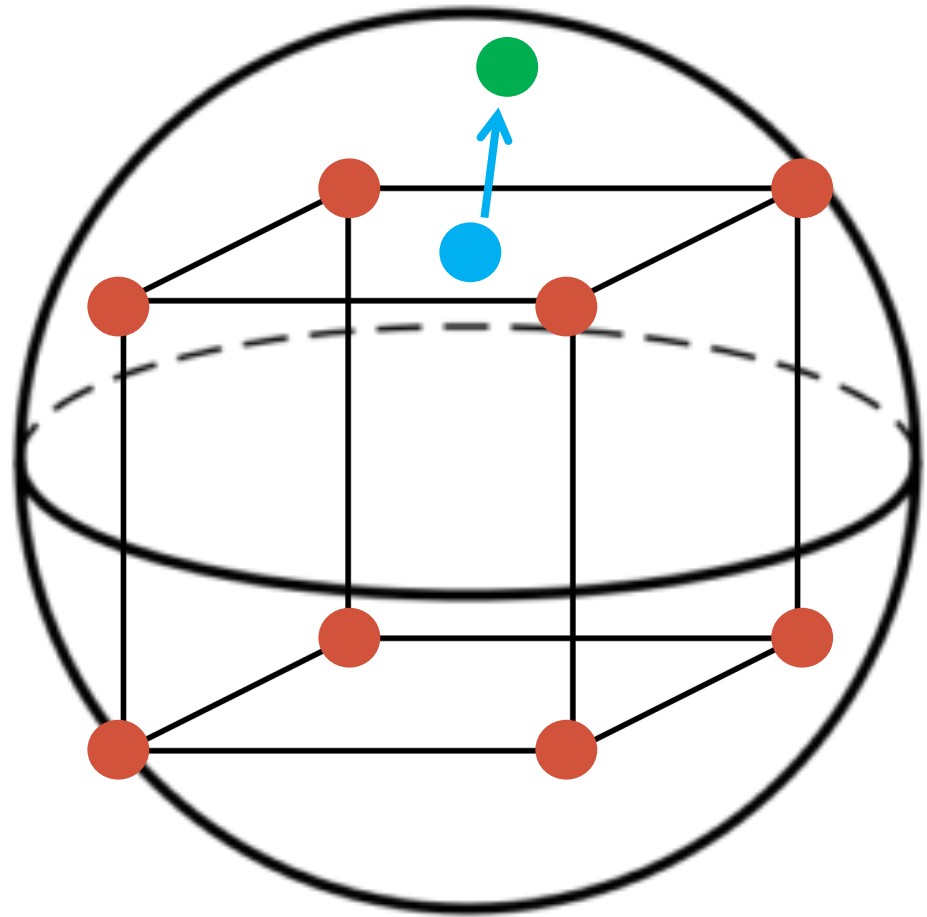
# Cubed-Sphere Mesh

- Take a cube, and inscribe it in a sphere
- The vertices of the cube are in contact with the sphere; all other points on the cube are within the sphere
- Goal: map (project) all points of the cube onto the sphere

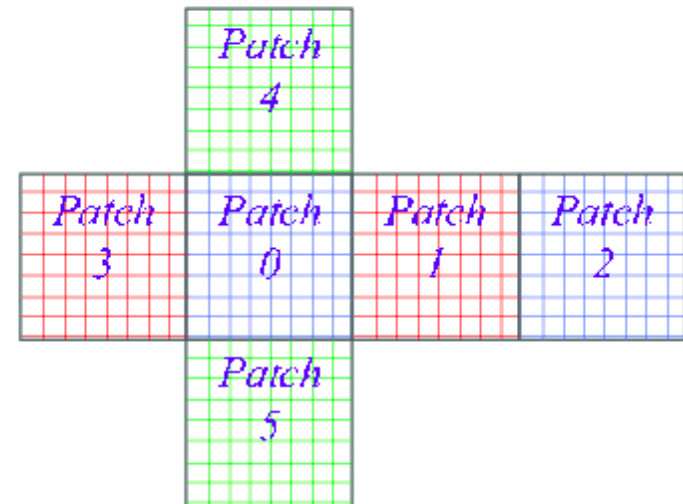
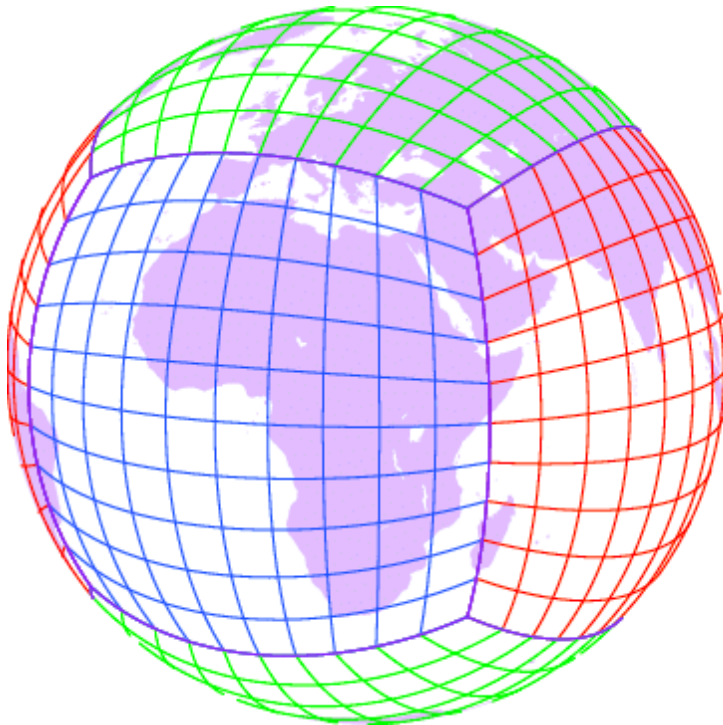


# Cubed-Sphere Mesh

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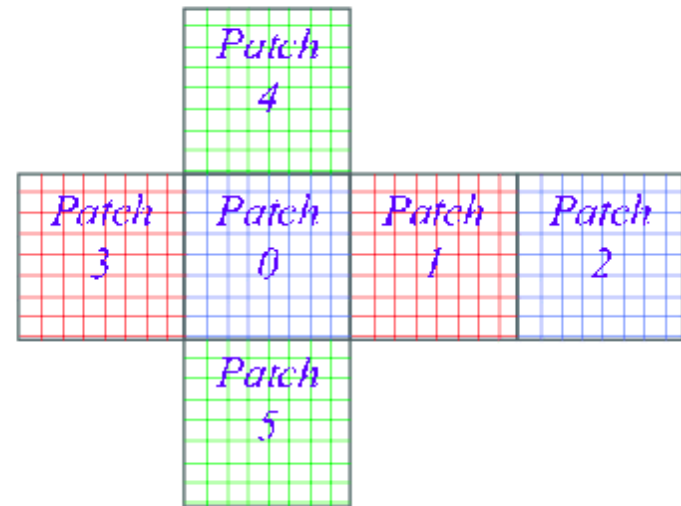
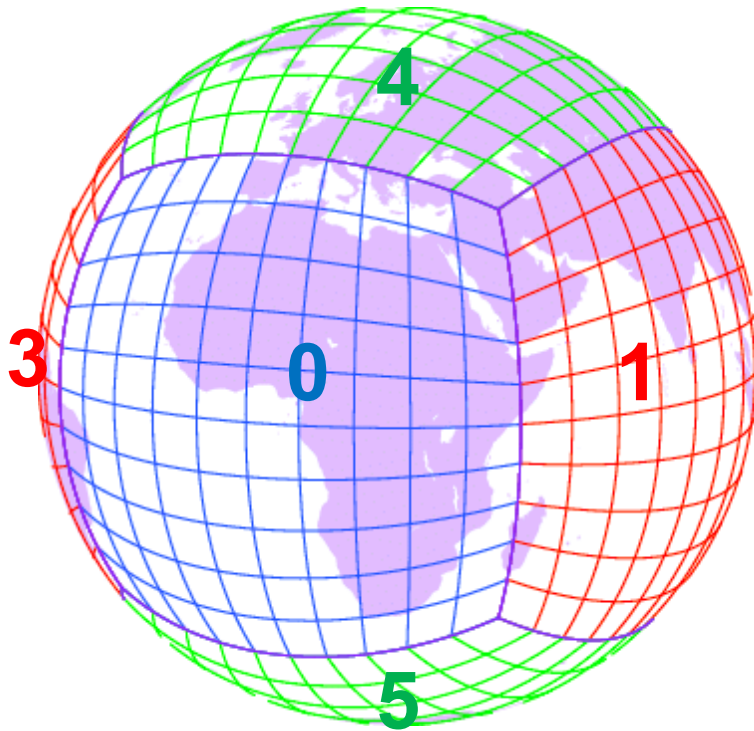


# Cubed-Sphere Mesh



[https://www.researchgate.net/figure/The-cubed-sphere-mesh-Left-and-its-six-patches-as-the-computational-domain-Right\\_fig1\\_314654320](https://www.researchgate.net/figure/The-cubed-sphere-mesh-Left-and-its-six-patches-as-the-computational-domain-Right_fig1_314654320)

# Cubed-Sphere Mesh

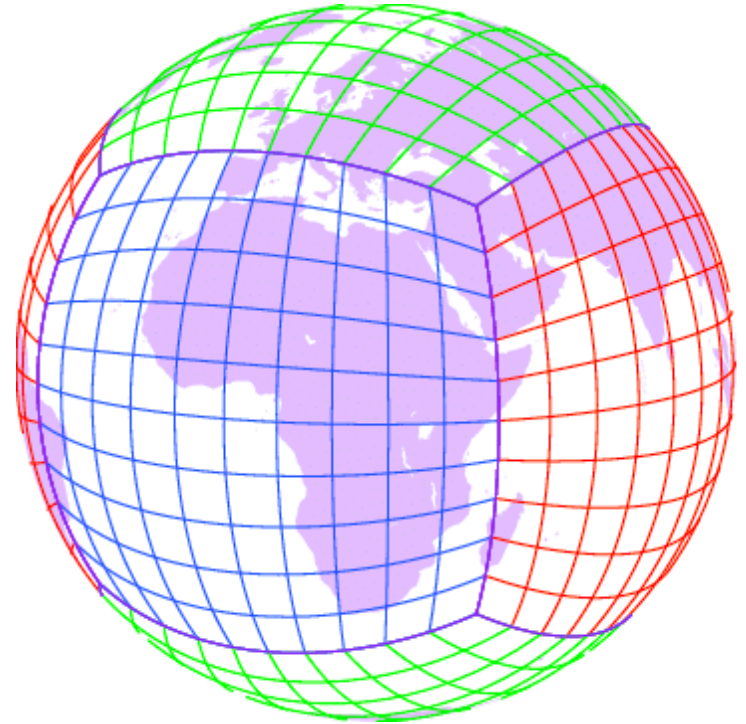


[https://www.researchgate.net/figure/The-cubed-sphere-mesh-Left-and-its-six-patches-as-the-computational-domain-Right\\_fig1\\_314654320](https://www.researchgate.net/figure/The-cubed-sphere-mesh-Left-and-its-six-patches-as-the-computational-domain-Right_fig1_314654320)

# Cubed-Sphere Mesh

## Mesh Descriptions

- Grid label: cM
  - M = # number of points along cubed-sphere edge
  - Total # of cells = M x M x 6



- Grid lengths ( $\theta$  = latitude,  $\lambda$  = longitude):

$$\delta h = \sqrt{\sin^2 \left( \frac{\theta_2 - \theta_1}{2} \right) + \cos \theta_1 \cos \theta_2 \sin^2 \left( \frac{\lambda_2 - \lambda_1}{2} \right)}$$

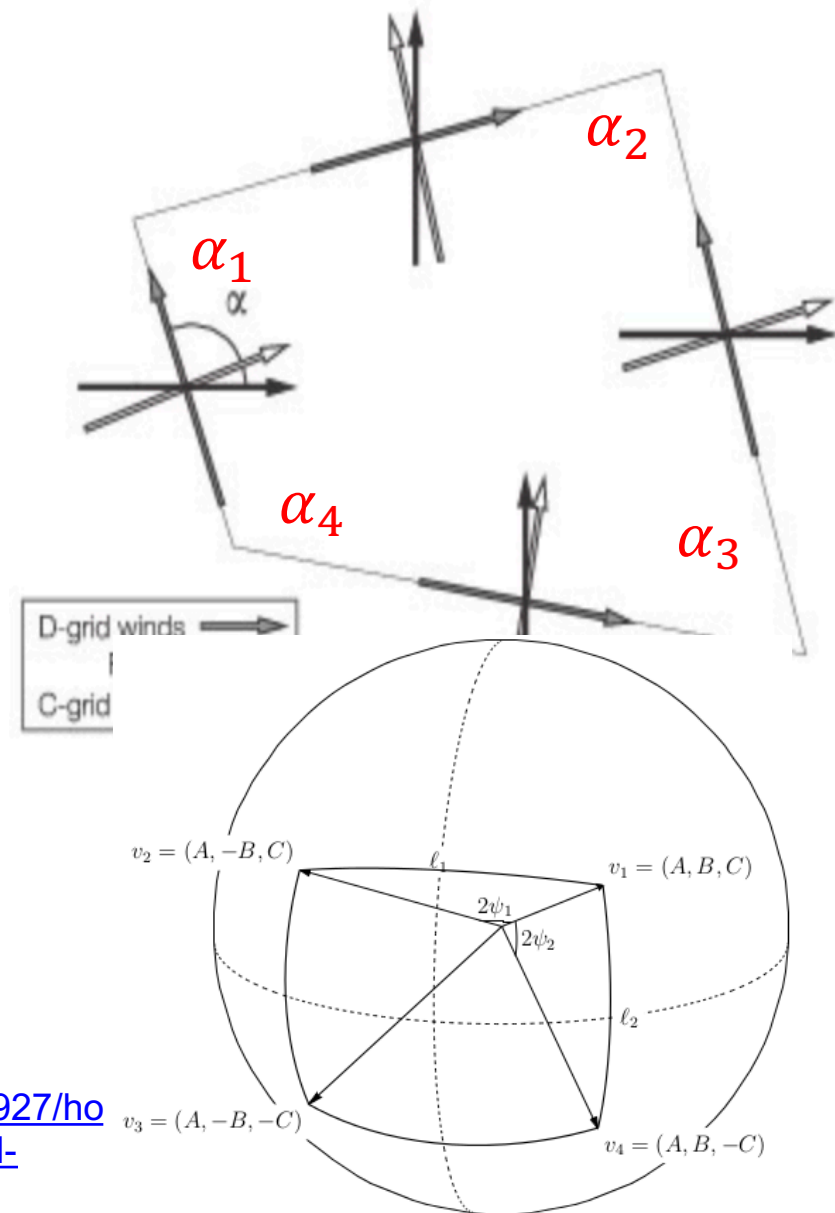
# Cubed-Sphere Mesh

## Cell Descriptions

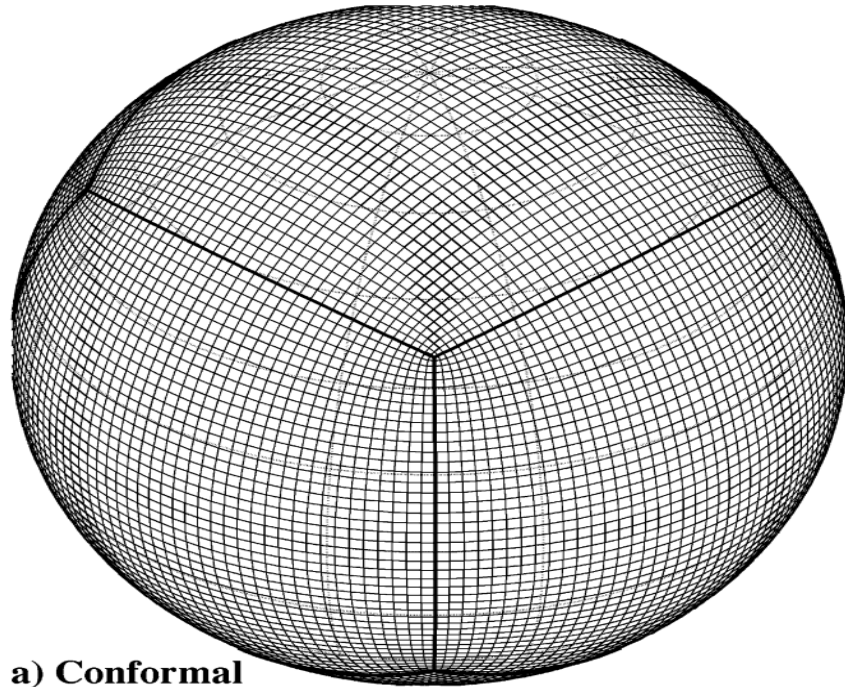
- Geometry defined by locations of vertices
- Edges are great-circle arcs
- Cell areas (from spherical excess):

$$\Delta \mathcal{A} = R^2 [\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4 - 2\pi]$$

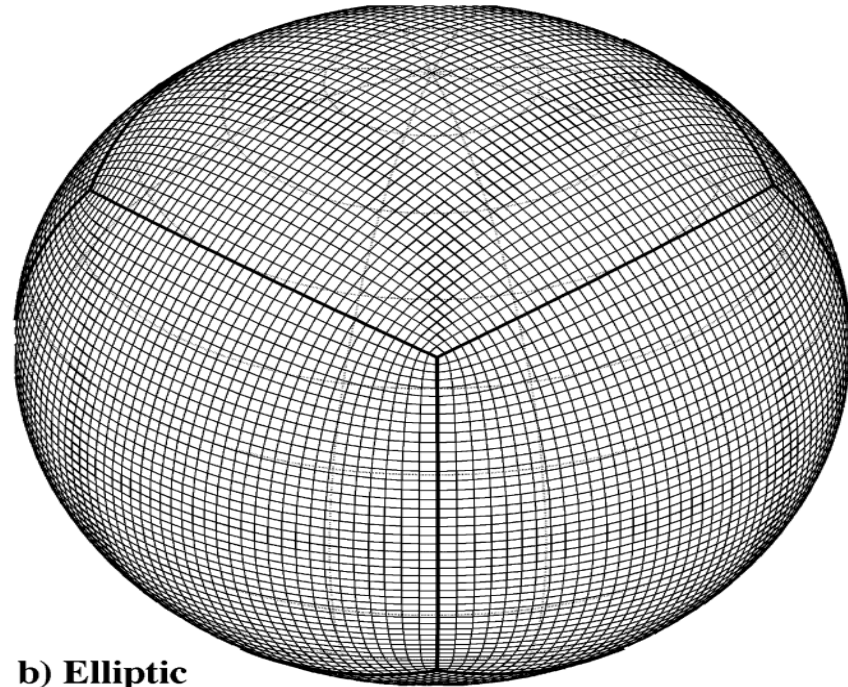
<https://math.stackexchange.com/questions/1205927/how-to-calculate-the-area-covered-by-any-spherical-rectangle>



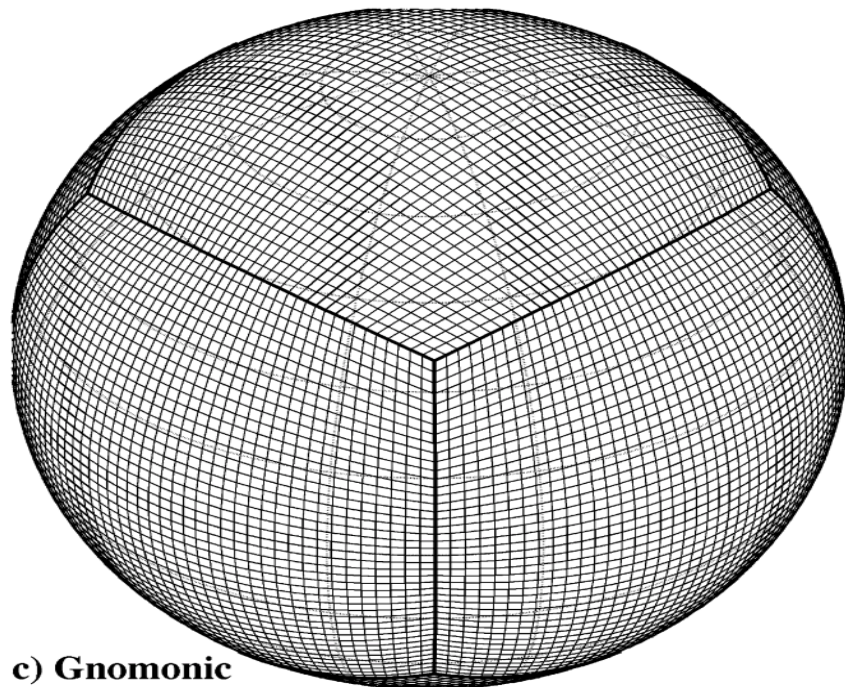




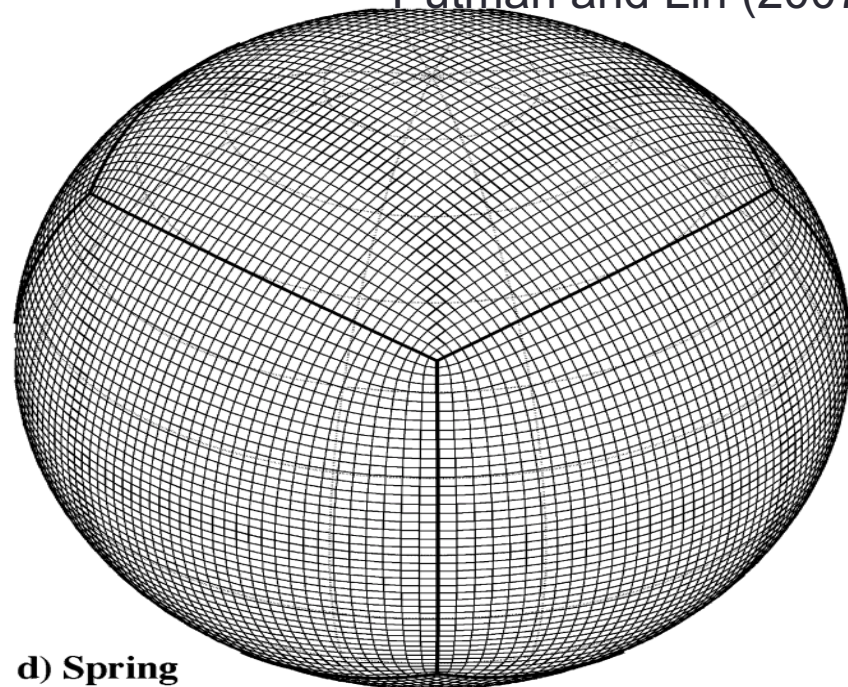
**a) Conformal**



**b) Elliptic**



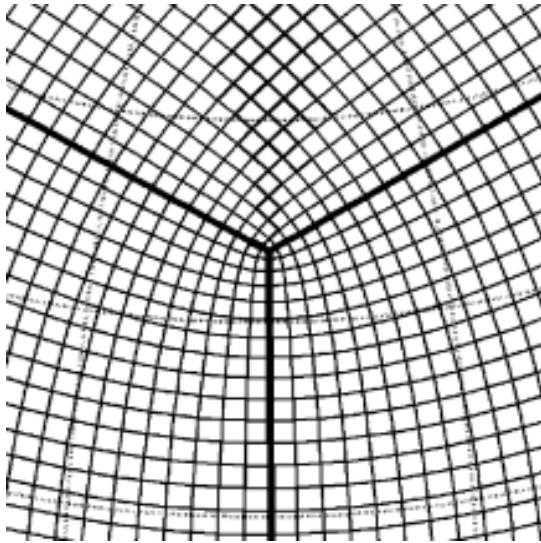
**c) Gnomonic**



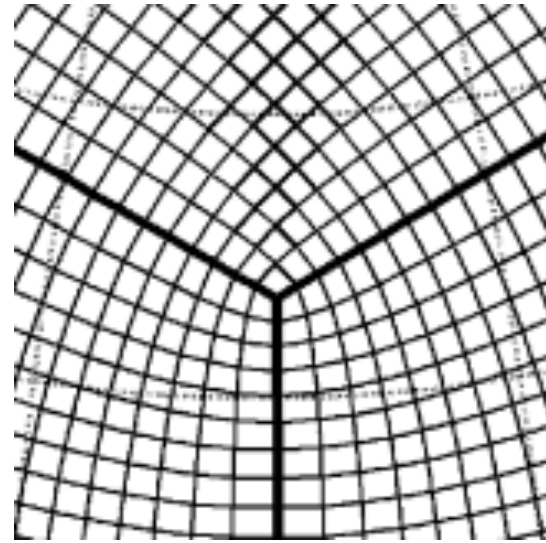
**d) Spring**

Putman and Lin (2007)

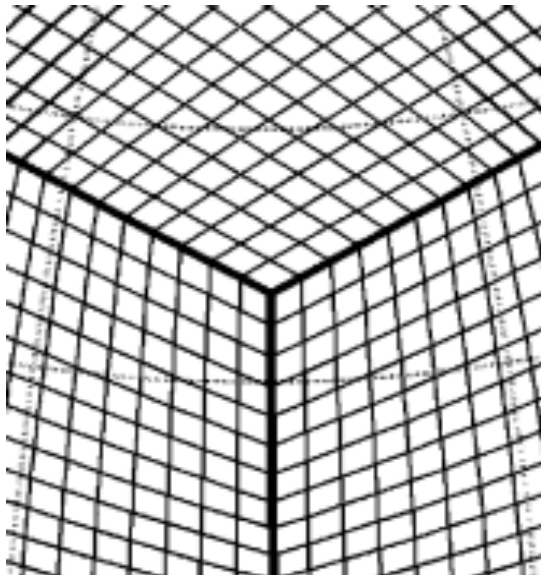




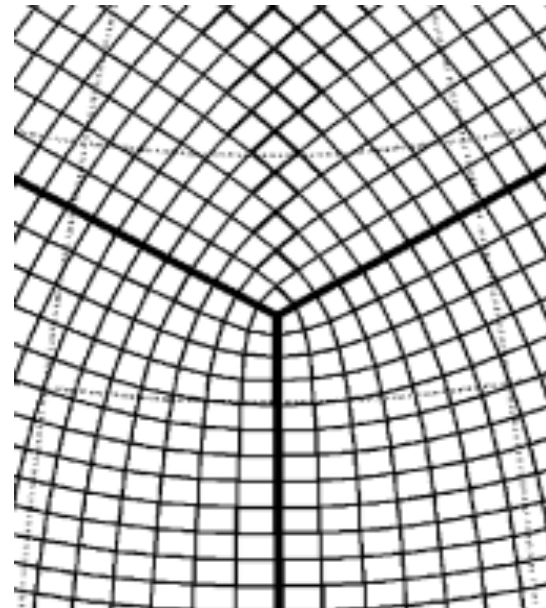
a) Conformal



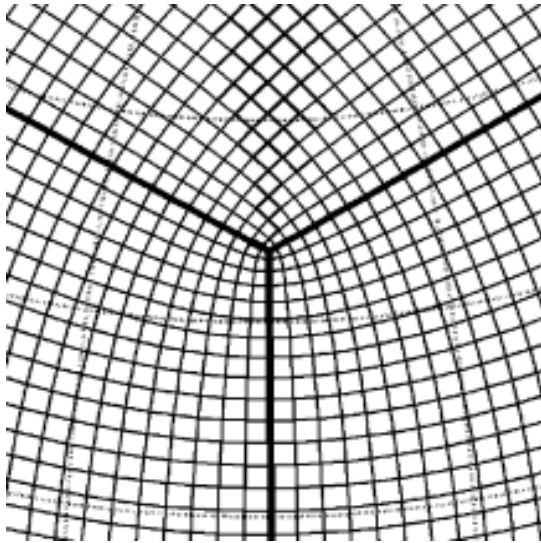
b) Elliptic



c) Gnomonic

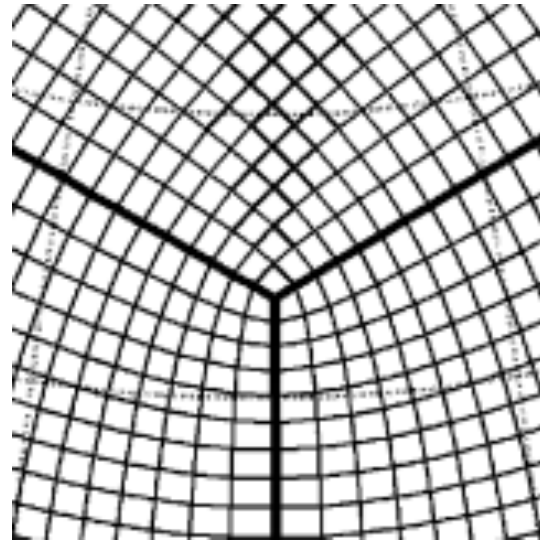


d) Spring



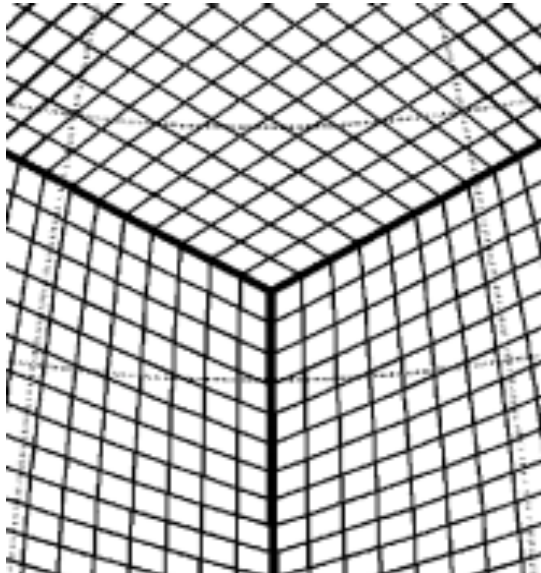
Orthogonal (rectangles have  $\sim 90^\circ$  angles), not Uniform (fast change in shape and size near vertex)

a) Conformal



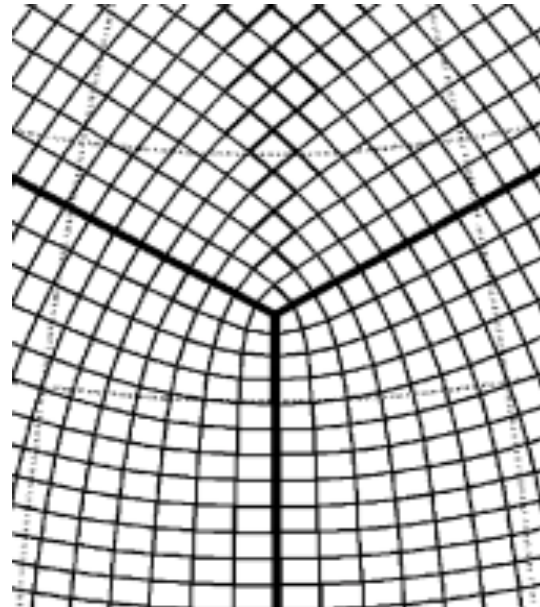
Kinda Orthogonal, Kinda Uniform

b) Elliptic



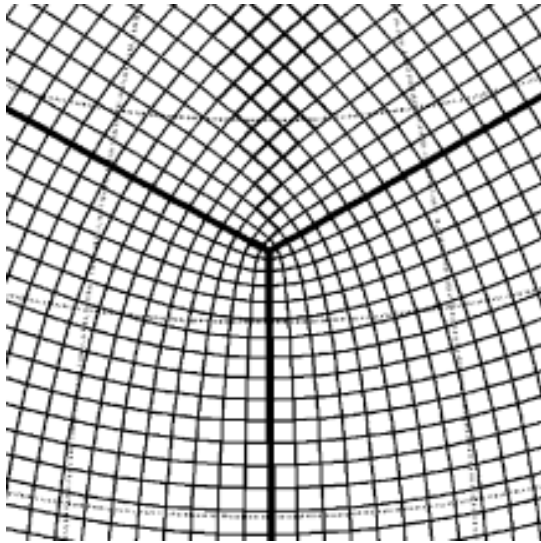
Not Orthogonal, Uniform

c) Gnomonic

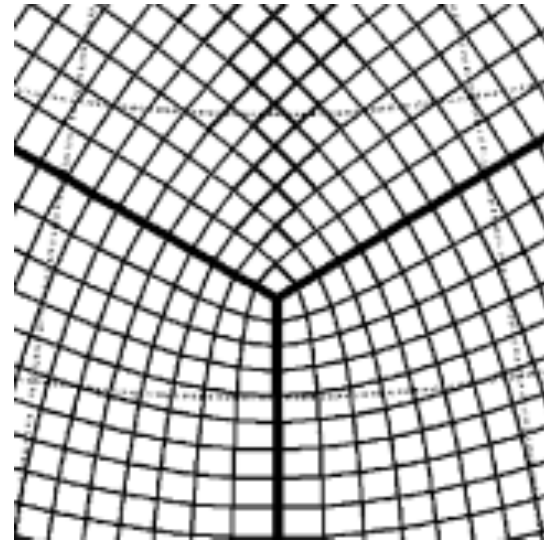


Kinda Orthogonal, Kinda Uniform

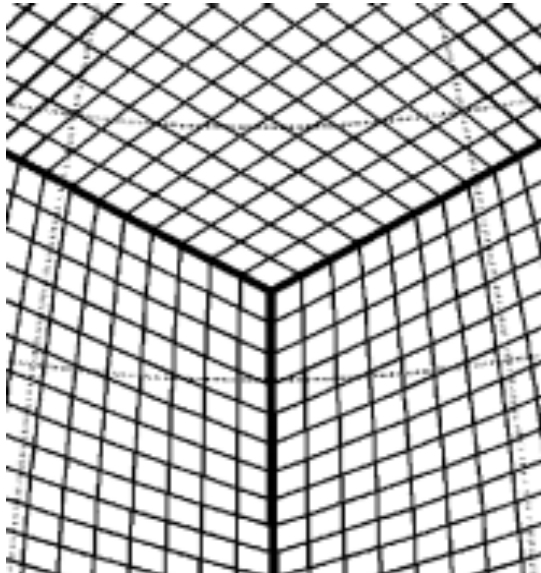
d) Spring



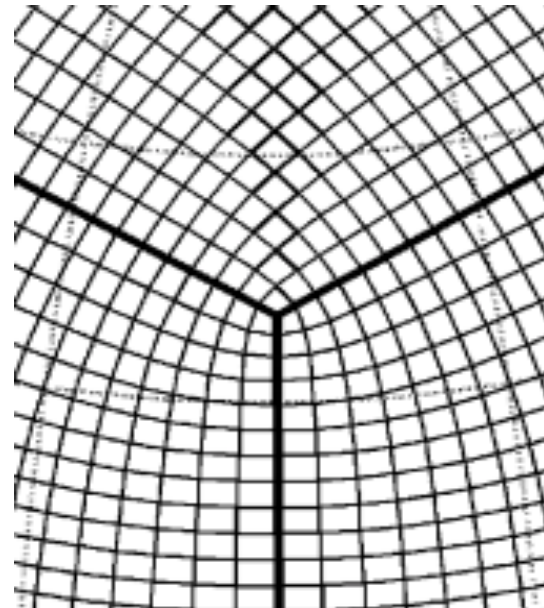
a) Conformal



b) Elliptic



c) Gnomonic



d) Spring

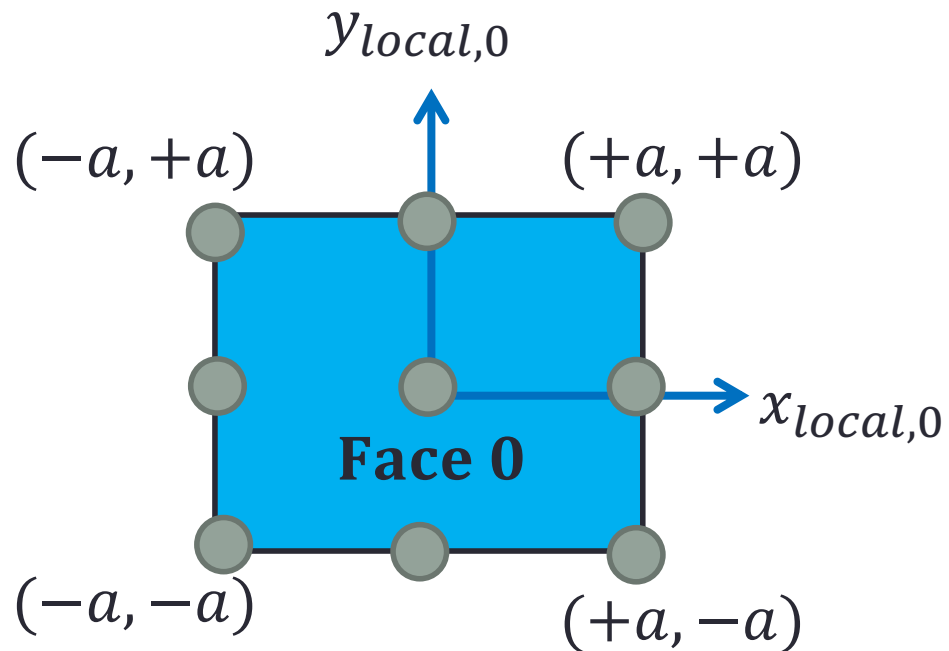
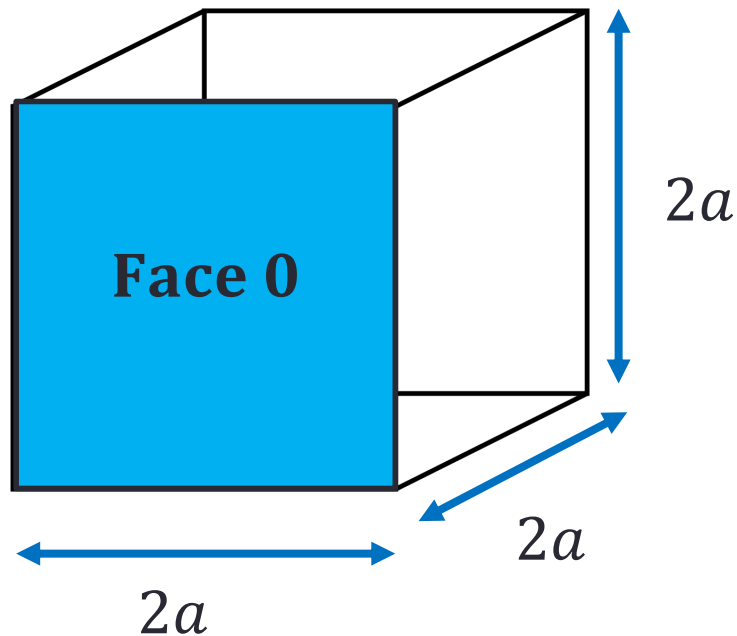
Lowest error in  
idealized  
advection  
tests



# Cubed-Sphere Mesh

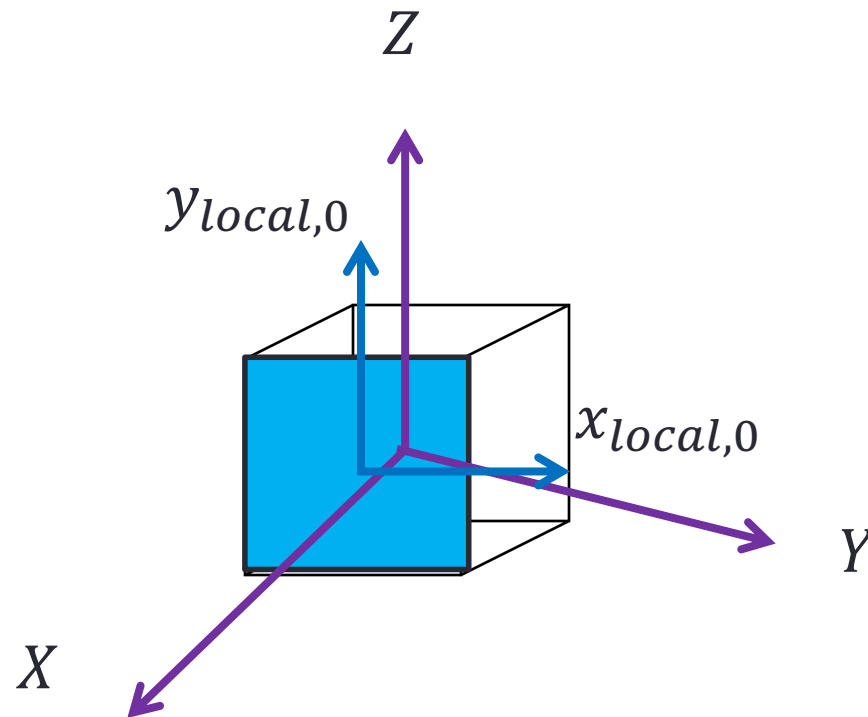
## Gnomonic

- Gnomonic = expand inscribed cube by projecting points to surface of the sphere



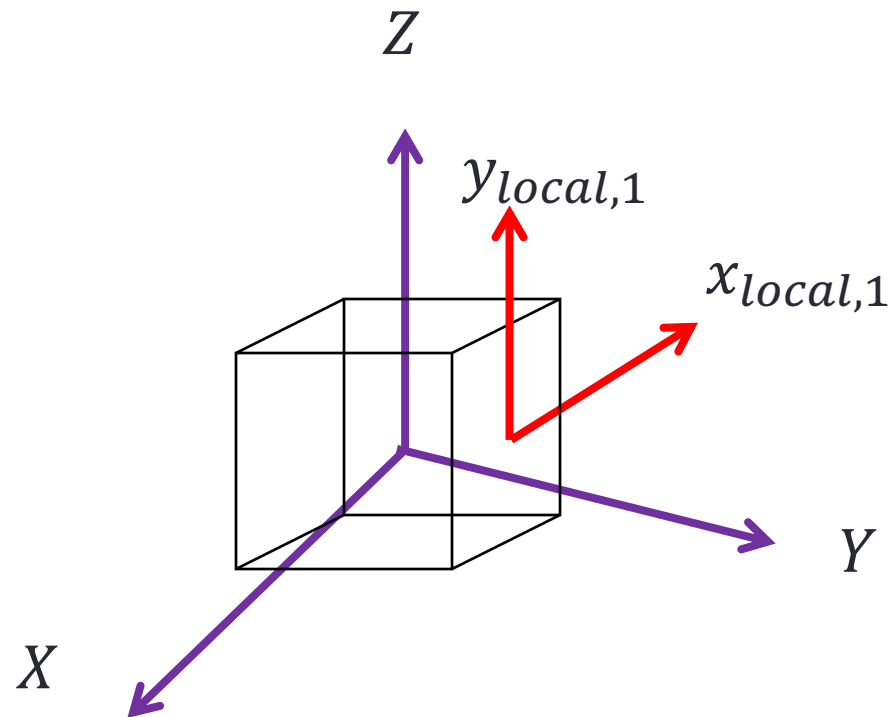
# Cubed-Sphere Mesh

## Gnomonic



# Cubed-Sphere Mesh

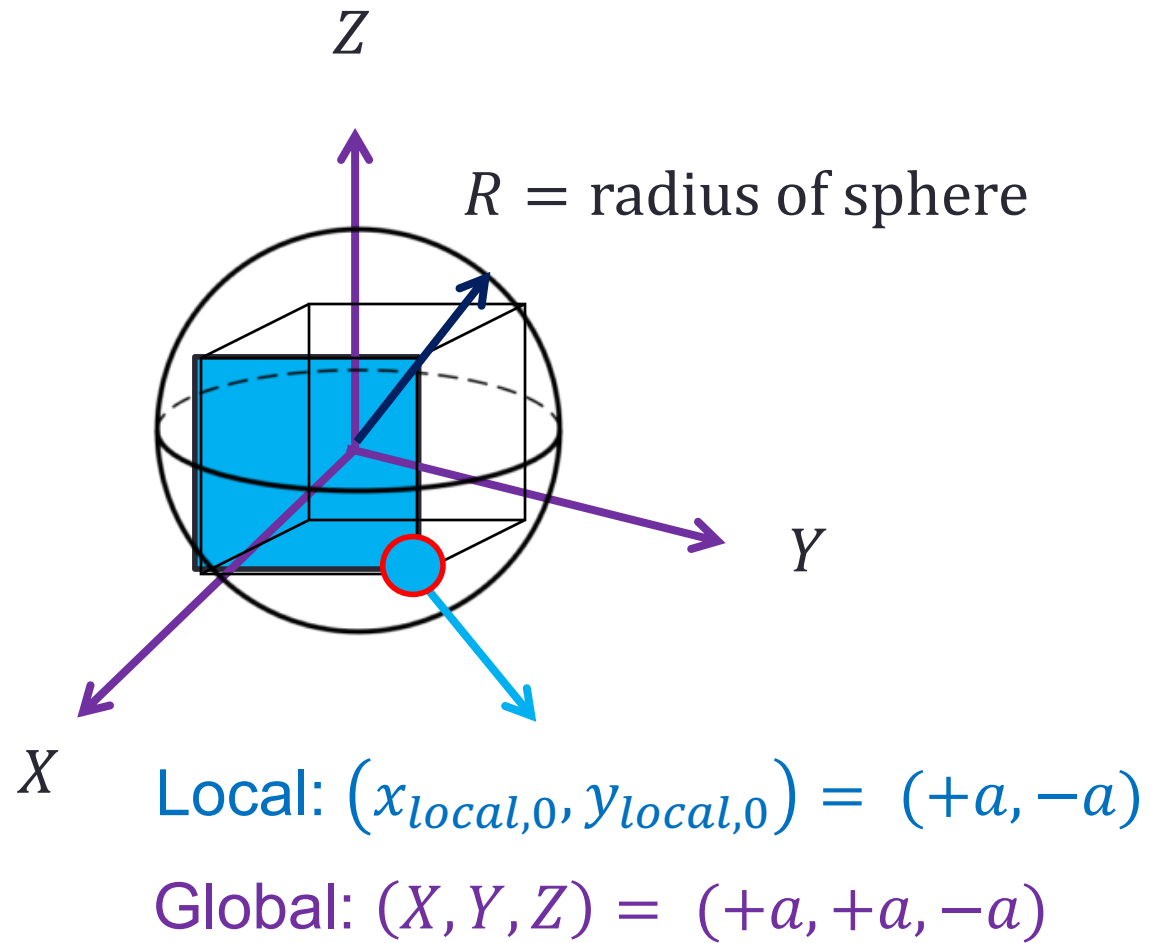
## Gnomonic



# Cubed-Sphere Mesh

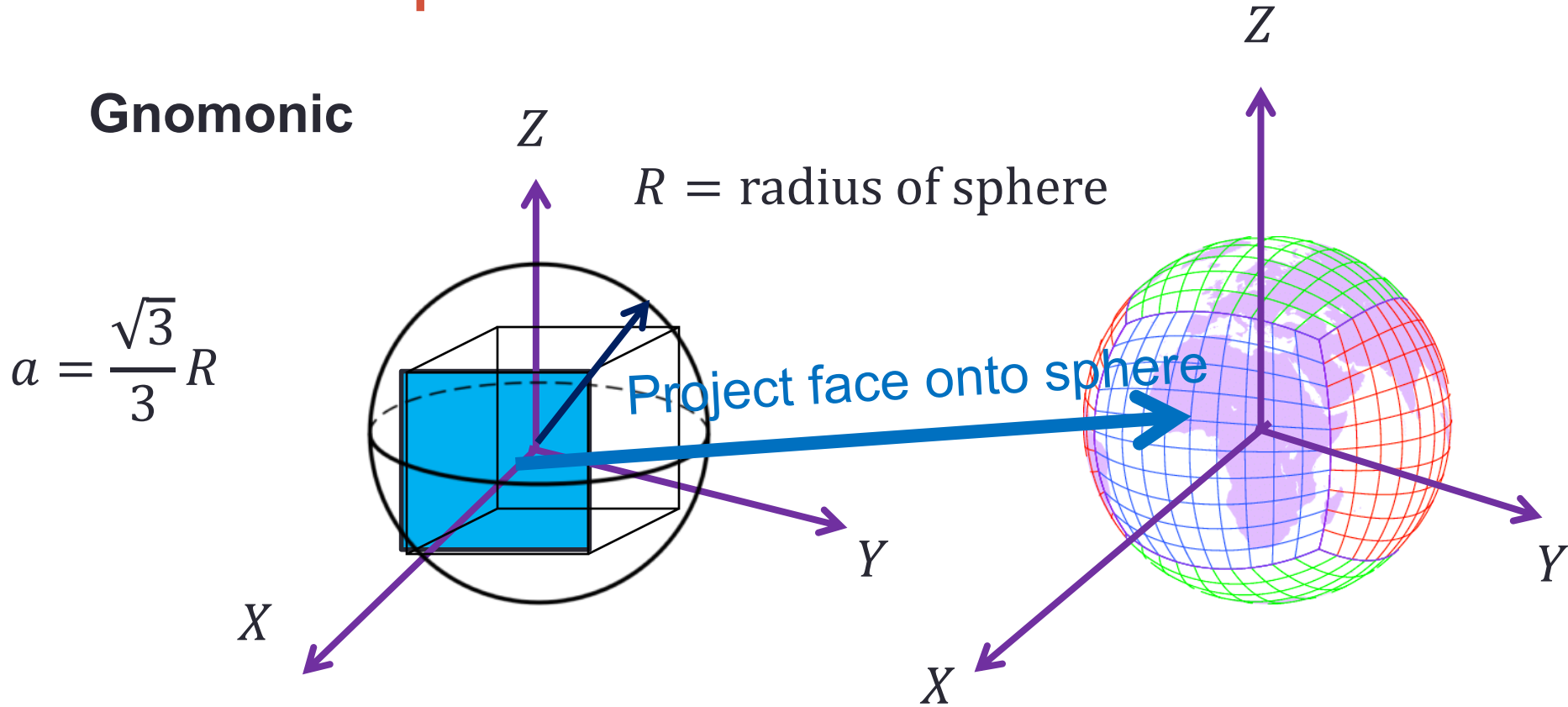
## Gnomonic

$$a = \frac{\sqrt{3}}{3} R$$



# Cubed-Sphere Mesh

**Gnomonic**



For Face 0, on surface of sphere:

$$(X, Y, Z) = \frac{R}{\sqrt{a^2 + x_{local,0}^2 + y_{local,0}^2}} (+a, x_{local,0}, y_{local,0})$$



# Cubed-Sphere Mesh

## Equidistant Gnomonic

- Equally spaced points on the local face to project onto the sphere
  - i.e. 5 points along an edge, located at  $-a, -\frac{1}{2}a, 0, +\frac{1}{2}a, +a$

## Equiangular Gnomonic

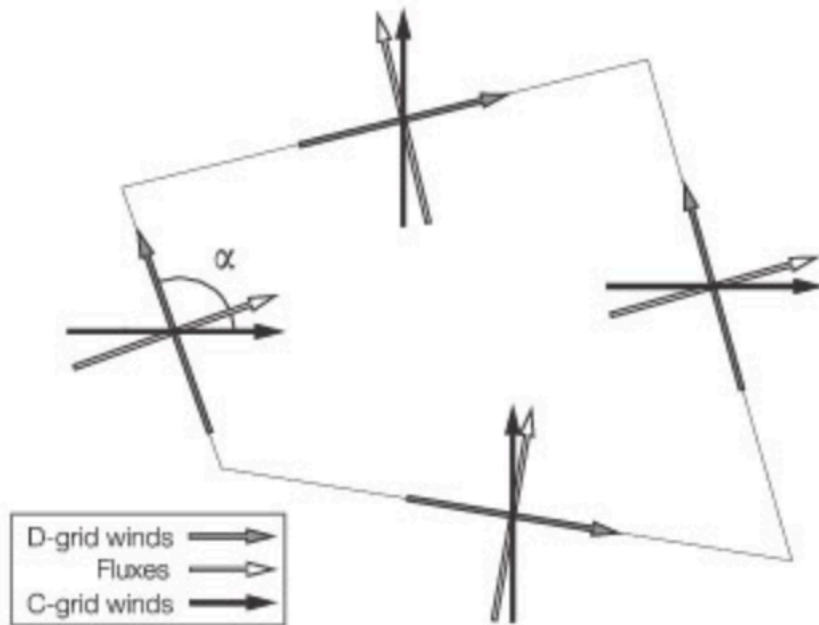
- Space points on the local face according to:

$$x_{local} = a * \tan(x_0)$$

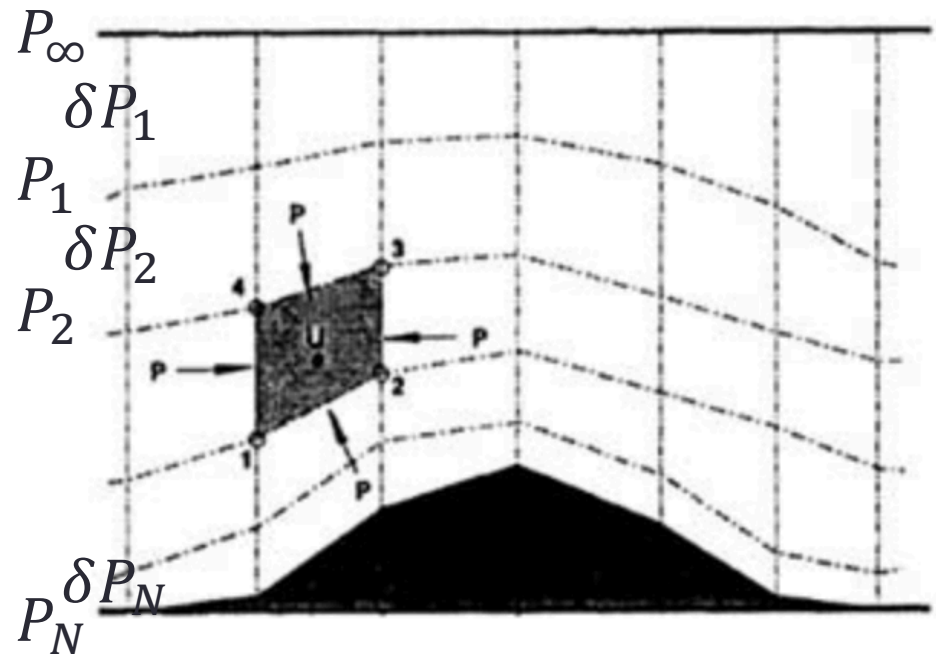
$$y_{local} = a * \tan(y_0)$$

$(x_0, y_0)$  equally range from  $\left(-\frac{\pi}{4}, +\frac{\pi}{4}\right)$

# FV3 Numerics



Horizontally semi-Lagrangian; CD-staggered grid



Vertically Lagrangian – pressure layers can expand/contract

# FV3 Numerics

## Horizontal Equations in One Layer

$$\frac{\partial \mathbf{V}}{\partial t} = -\Omega \hat{\mathbf{k}} \times \mathbf{V} - \nabla(\kappa + \nu \nabla^2 D) - \frac{1}{\rho} \nabla p \Big|_z, \quad \text{Hor. momentum}$$

$$\frac{\partial \delta p}{\partial t} + \nabla \cdot (\mathbf{V} \delta p) = 0, \quad \text{Hydrostatic pressure thickness} \\ (\propto \text{mass of layer})$$

$$\frac{\partial \delta p^\Theta}{\partial t} + \nabla \cdot (\mathbf{V} \delta p^\Theta) = 0, \quad \text{Potential temperature (scalar} \\ \text{transport)}$$

All scalars are cell-averaged values; all vectors and fluxes are face (edge)-averaged values

# FV3 Numerics

## Horizontal Equations in One Layer

$$\frac{\partial \mathbf{V}}{\partial t} = -\underbrace{\Omega \hat{\mathbf{k}} \times \mathbf{V}}_{\text{Absolut vort.}} - \underbrace{\nabla(\kappa + \nu \nabla^2 D)}_{\text{Kinetic energy Divergence}} - \frac{1}{\rho} \nabla p \Big|_z, \quad \text{Hor. momentum}$$

Div. damping      Density      Pressure

$$\frac{\partial \delta p}{\partial t} + \nabla \cdot (\mathbf{V} \delta p) = 0, \quad \text{Hydrostatic pressure thickness } (\propto \text{mass of layer})$$

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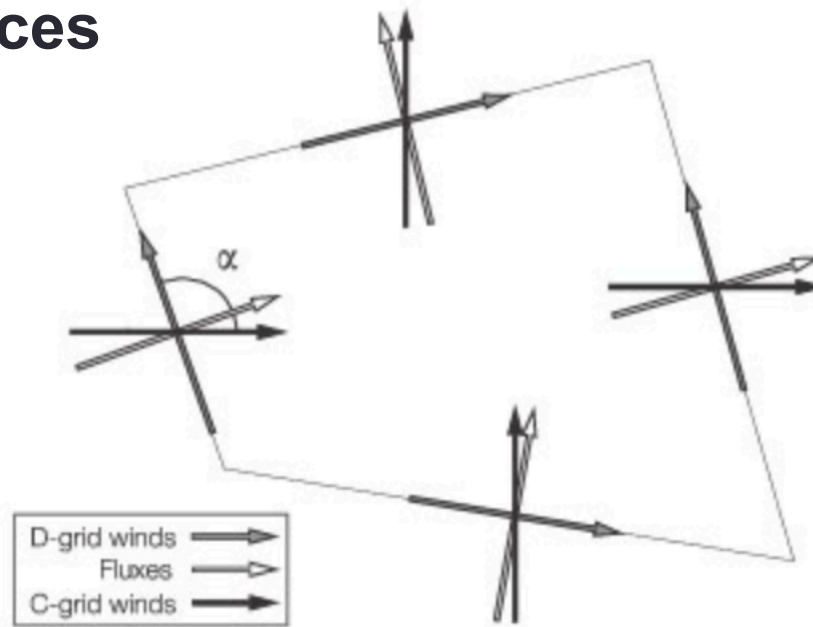
Prescribed

Prognostic variables

Diagnosed variables

# FV3 Numerics

## Flux Divergences

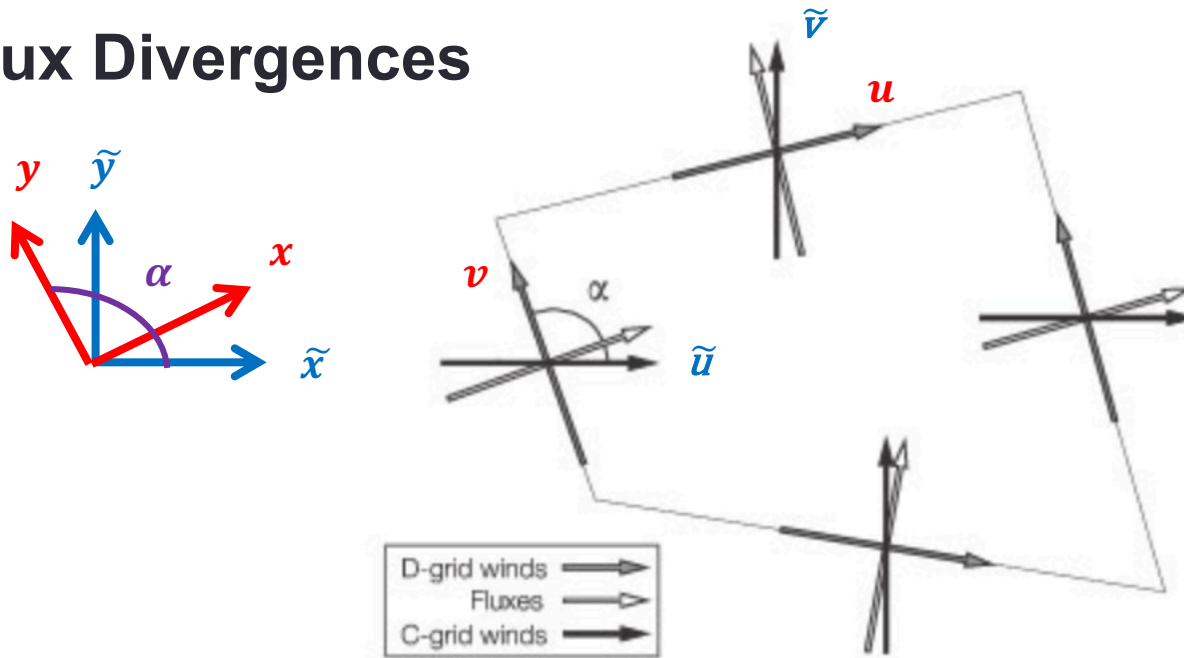


$$F[\widetilde{u}^*, \Delta\tau, \eta] = -\frac{\Delta\tau}{\Delta A} \delta_x [X(\widetilde{u}^*, \Delta\tau, \eta) \Delta y \sin\alpha]$$

$$G[\widetilde{v}^*, \Delta\tau, \eta] = -\frac{\Delta\tau}{\Delta A} \delta_y [Y(\widetilde{v}^*, \Delta\tau, \eta) \Delta x \sin\alpha]$$

# FV3 Numerics

## Flux Divergences

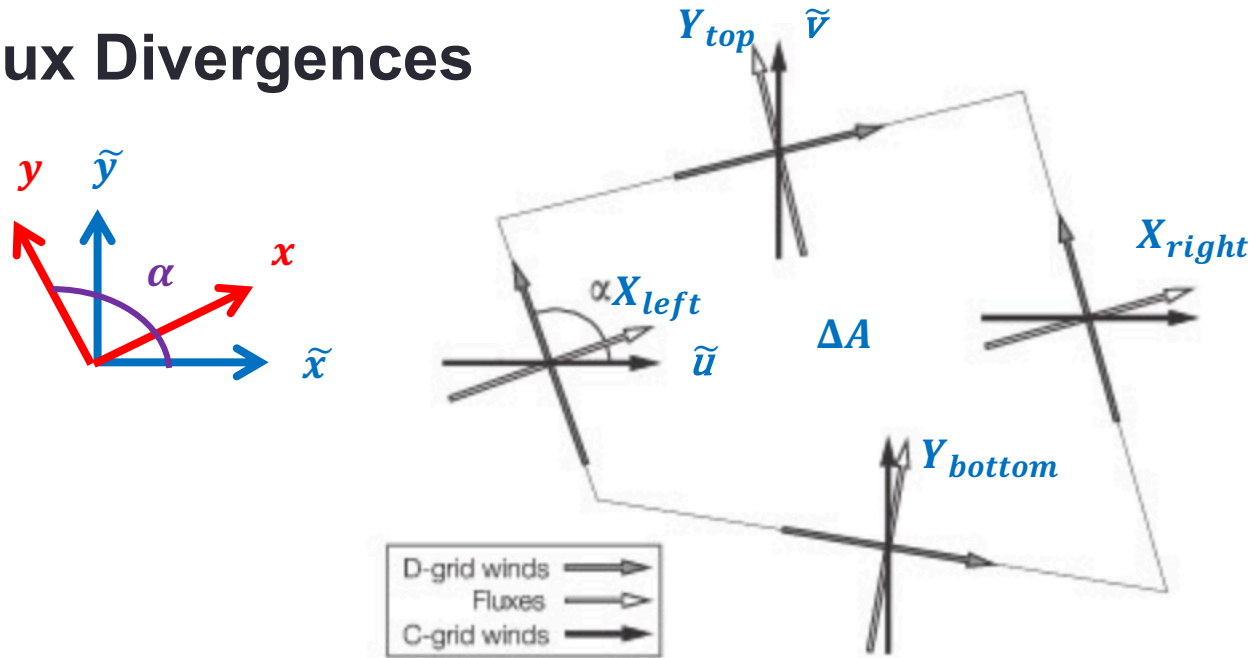


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# FV3 Numerics

## Flux Divergences



$$F[\tilde{u}^*, \Delta\tau, \eta] = -\frac{\Delta\tau}{\Delta A} \delta_x [X(\tilde{u}^*, \Delta\tau, \eta) \Delta y \sin\alpha]$$

$$G[\tilde{v}^*, \Delta\tau, \eta] = -\frac{\Delta\tau}{\Delta A} \delta_y [Y(\tilde{v}^*, \Delta\tau, \eta) \Delta x \sin\alpha]$$

# FV3 Numerics

## Discretized Equations

$$\delta p^{n+1} = \delta p^n + F[\widetilde{u}^*, \Delta\tau, \delta p^y] + G[\widetilde{v}^*, \Delta\tau, \delta p^x], \quad (1)$$

$$\Theta^{n+1} = \frac{1}{\delta p^{n+1}} \{ \Theta^n \delta p^n + F[x^*, \Delta\tau, \Theta^y] + G[y^*, \Delta\tau, \Theta^x] \}, \quad (2)$$

$$u^{n+1} = u^n + \Delta\tau [Y(\widetilde{v}^*, \Delta\tau, \Omega^x) - \delta_x(\kappa^* - \nu \nabla^2 D) + \widehat{P}_x], \quad (3)$$

$$v^{n+1} = v^n + \Delta\tau [X(\widetilde{u}^*, \Delta\tau, \Omega^y) - \delta_y(\kappa^* - \nu \nabla^2 D) + \widehat{P}_y]. \quad (4)$$




# FV3 Numerics

## Workflow

1.) Compute half-time step ( $n + 1/2$ )  $\widetilde{u}^*$  (\* = time-averaged value) and  $\widetilde{v}^*$  (**C-grid** winds, for fluxes) using vorticity and kinetic energy fluxes from time step  $n$

2.) Also compute PGF at  $n + 1/2$

$$v^{n+1} = v^n + \Delta\tau [X(\widetilde{u}^*, \Delta\tau, \Omega^y) - \delta_y (\kappa^* - \nu \nabla^2 D) + \widehat{P}_y]. \quad (4)$$


# FV3 Numerics

## Workflow

3.) Compute full-time step ( $n + 1$ ) variables on the **D-grid** using the **C-grid** values computed at  $n + \frac{1}{2}$

$$\longrightarrow \delta p^{n+1} = \delta p^n + F[\widetilde{u}^*, \Delta\tau, \delta p^y] + G[\widetilde{v}^*, \Delta\tau, \delta p^x], \quad (1)$$

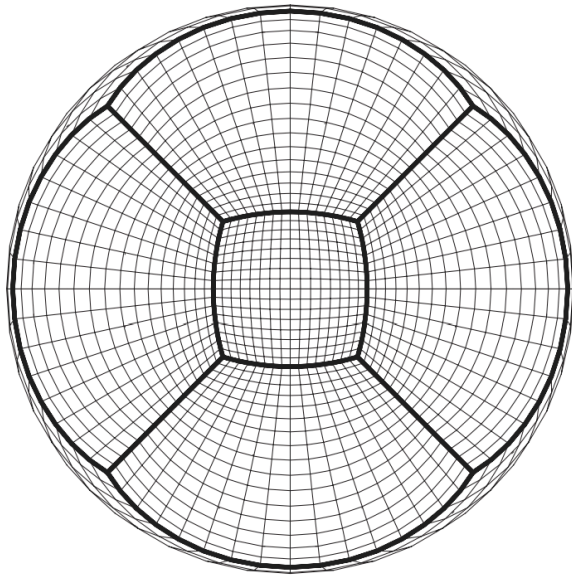
$$\longrightarrow \Theta^{n+1} = \frac{1}{\delta p^{n+1}} \{ \Theta^n \delta p^n + F[x^*, \Delta\tau, \Theta^y] + G[y^*, \Delta\tau, \Theta^x] \}, \quad (2)$$

$$\longrightarrow u^{n+1} = u^n + \Delta\tau [Y(\widetilde{v}^*, \Delta\tau, \Omega^x) - \delta_x(\kappa^* - \nu \nabla^2 D) + \widehat{P}_x], \quad (3)$$

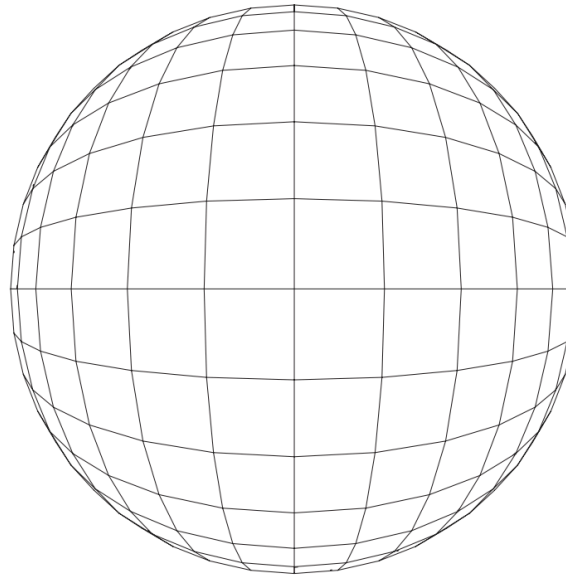
$$\longrightarrow v^{n+1} = v^n + \Delta\tau [X(\widetilde{u}^*, \Delta\tau, \Omega^y) - \delta_y(\kappa^* - \nu \nabla^2 D) + \widehat{P}_y]. \quad (4)$$

# FV3 Mesh Refinement

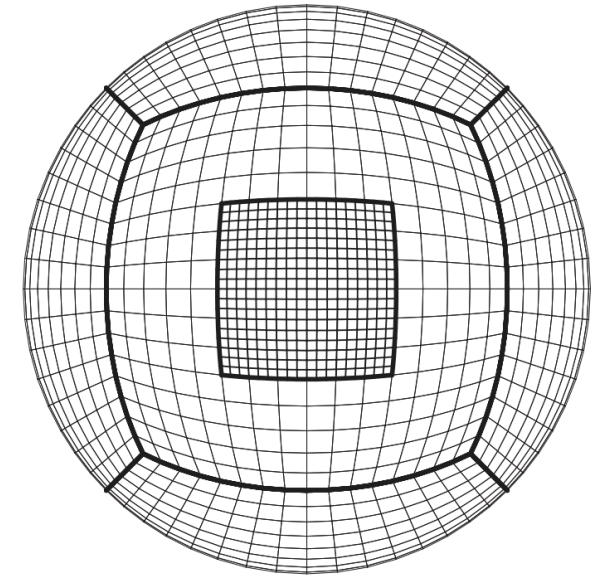
Harris and Lin (2013)



3x stretched-grid  
refinement from  
uniform



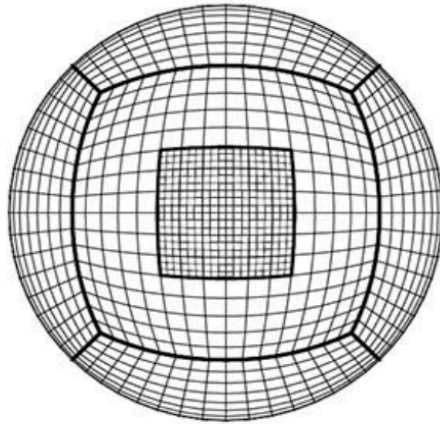
Opposite side of  
stretched-grid



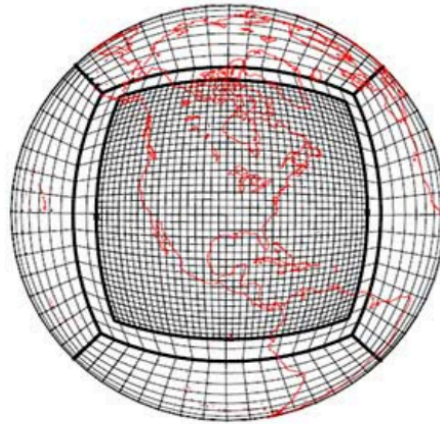
3x nesting ratio  
from coarse grid  
(nest/parent **run  
concurrently;  
dedicated procs**)

# FV3 Mesh Refinement

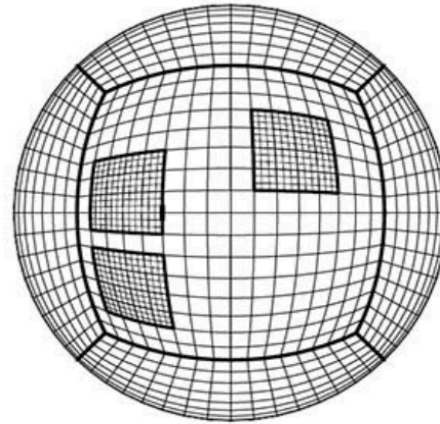
<https://www.gfdl.noaa.gov/fv3/fv3-grids/>



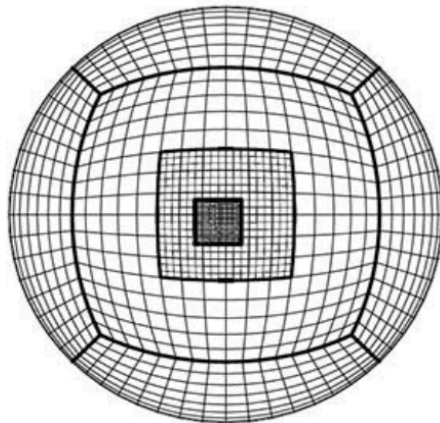
3:1 nested grid



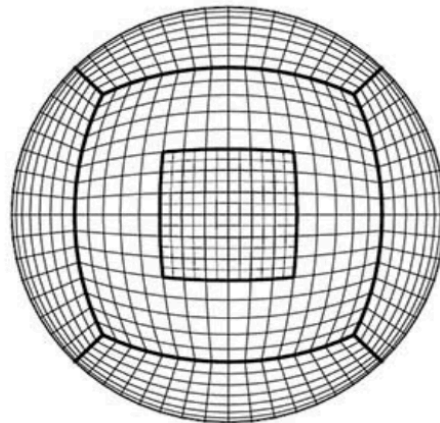
Large nest for RCMs



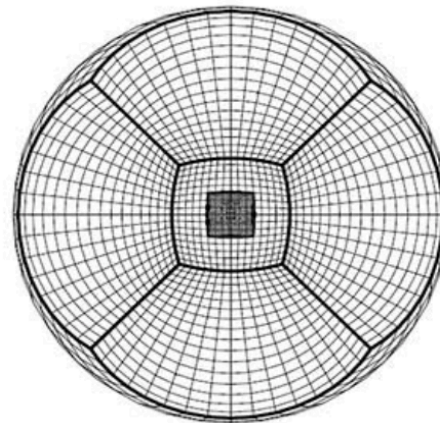
Multiple nests



Telescoping nests



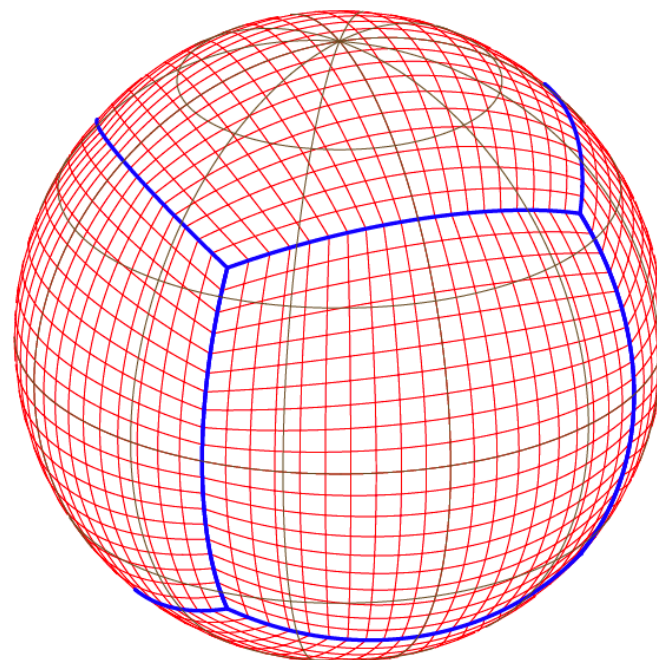
2:1 nested grid



Nest in stretched grid

# Summary

- FV3 is a sophisticated **finite-volume global model** on a **CD-gridded, cubed-sphere mesh** that took 20+ years to develop
- Now used for operational weather forecasting and research weather/climate simulations
- Supports **stretched-grids** and **nesting**
- Moving towards community development



[https://www.researchgate.net/figure/The-equiangular-gnomonic-cubed-sphere\\_fig1\\_319964216](https://www.researchgate.net/figure/The-equiangular-gnomonic-cubed-sphere_fig1_319964216)



# References

## FV3 Documentation and References

**Disclaimer:** We have made every effort to ensure that the information here is as accurate, complete, and as up-to-date as possible. However, due to the *very* rapid pace of FV3 dynamical core and FV3-powered model development these documents may not always reflect the current state of FV3 capabilities. Often, the code itself is the best description of the current capabilities and the available options, which due to limited space cannot all be described in full detail here. **We strongly recommend anyone who wishes to understand FV3 in more detail to read and study the articles linked below.** Contact [GFDL FV3 Dycore support](#) or [GFDL SHIELD/fvGFS model support](#) for assistance and more information.

Key Journal Articles (many now open access):

- [Lin, Chao, Sud, and Walker, 1994: Van Leer transport scheme](#)
- [Lin and Rood 1996: FV advection scheme](#)
- [Lin and Rood 1997: FV lat-lon shallow-water model](#)
- [Lin 1997: FV pressure-gradient force formulation](#)
- [Lin 2004: The latitude-longitude FV core](#)
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- [Zhao, Held, and Lin, 2012: Divergence damping and tropical cyclones](#)
- [X. Chen, Lin, and coauthors, 2013: A nonhydrostatic finite-volume algorithm](#)
- [J.-H. Chen and Lin, 2013: Seasonal hurricane prediction with GFDL Microphysics](#)
- [Harris and Lin, 2013: FV3 global-to-regional nesting](#)
- [Harris, Lin, and Tu, 2016: FV3 stretched-grid regional refinement](#)
- [Lin, Harris, X. Chen, Yao, and Chai, 2017: Nonlinear colliding modons idealized test](#)
- [J.-H. Chen, X. Chen, Lin, Magnusson, Bender, Zhou, and Rees, 2018: Initialization from ECMWF analyses](#)
- [Zhou, Lin, J.-H. Chen, Harris, X. Chen, and Rees, 2019: Convective-scale prediction with GFDL Microphysics](#)

<https://www.gfdl.noaa.gov/fv3/fv3-documentation-and-references/>

Documentation articles:

- [Diffusion\\_operators](#) (June 2018)
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