

EOSC547: Tunnelling & Underground Design

Topic 2: Geological Uncertainty



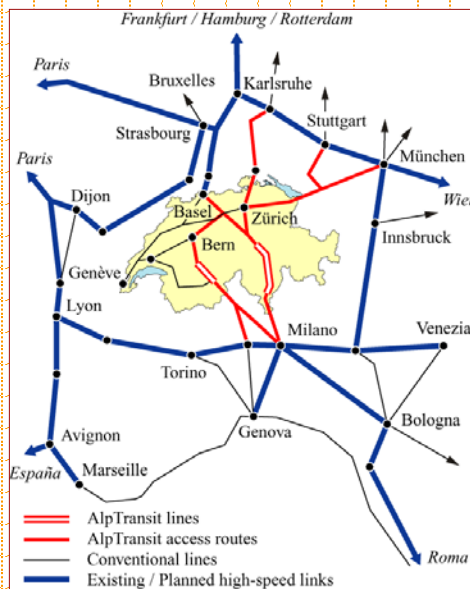
Geotechnical Challenges of the Gotthard Base Tunnel: The Known Knowns, Known Unknowns, & Unknown Unknowns



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AlpTransit

In 1994 the Swiss voted an alpine protection article into their constitution, forbidding the expansion of road capacity in alpine regions. This forced the government to shift heavy goods traffic from road to rail.

To accommodate this, voters approved the "Alptransit" project to build new tunnels through the Gotthard and the Lötschberg.

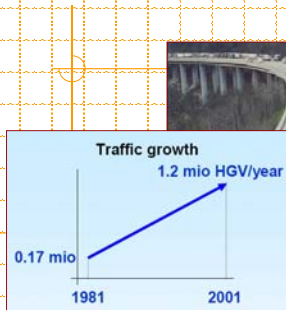


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Reasons for the Base Tunnels



Increasing Population Demands & Commercial Traffic

- The Gotthard Road Tunnel, is the main north-south route through the Alps, between Switzerland and Italy.
- 18,000 vehicles/day pass through the Gotthard Road Tunnel.

Safety

Gotthard Road Tunnel Fire (2001) - 11 people killed



Pollution



Drivers going through the Gotthard Road Tunnel inhale as many pollutants as if they smoked up to eight cigarettes.

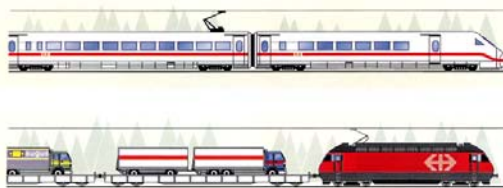


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AlpTransit Base Tunnels

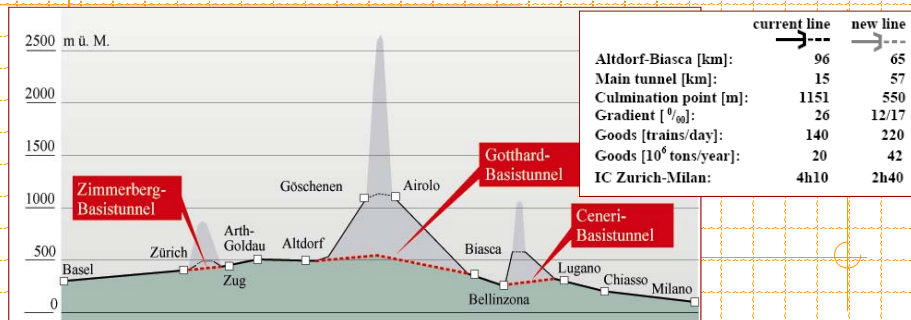


Future passenger trains

Eurocity (TGV, ICE, tilt trains)
Maximum speed: 200-250 km/h
Seating capacity: 700-800
Maximum length: 400 m

Rolling road

Maximum speed: 120 km/h
Maximum hauled tonnage: 2000-4000 tons
Maximum length: 750-1500 m



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Tunnelling & Geology - The Swiss Base Tunnels



World's Longest Transportation Tunnels

Tunnel	Length (km)	Completion Date
Gotthard Base (CH)	57.1	2018*
Brenner Base (AU)	55.4	2025
Sei-kan (Japan)	53.9	1988
Chunnel (ENG-FR)	50.5	1994
Loetschberg (CH)	34.6	2007

#30 Mount MacDonald (CAN) @ 14.6 km

#43 New Cascade (USA) @ 12.5 km

Gotthard Road Tunnel (CH) = 16.9 km

Canada = 5 rail tunnels > 2 km

USA = 4 rail tunnels > 2 km

Switzerland = 42 rail tunnels > 2 km



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Tunnelling & Geology - The Swiss Base Tunnels

COST:

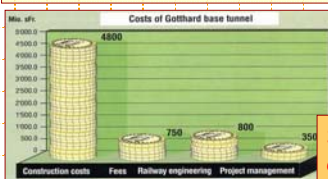
1998: \$7.2 Billion

2016: \$12.3 Billion

1998: \$3.2 Billion

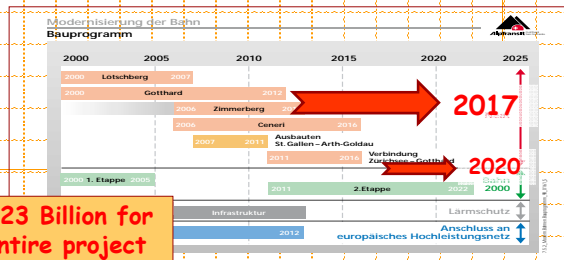
2007: \$4.3 Billion

Financing: 10% Oil Tax
15% Loans
55% Heavy Vehicle Tax
20% 1% increase in VAT



World's Longest Transportation Tunnels

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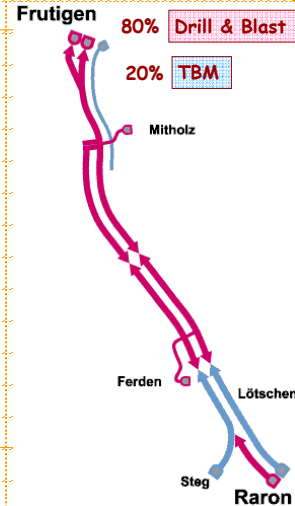
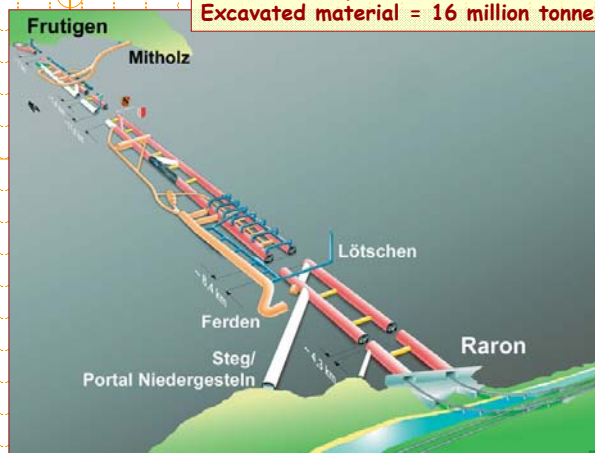
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Lötschberg Base Tunnel

Length = 34.6 km
Total tunnel system = 88.1 km
Excavated material = 16 million tonnes



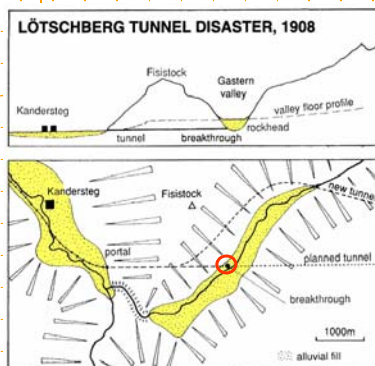
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Geological Challenges: Lötschberg Base Tunnel

Buried Valleys: burial is the consequence of glacial down cutting and alluvial deposition. Buried valleys are a major concern in tunnelling as they are often deep (!!), with unknown thicknesses, and filled with water saturated sediments under high water pressures.



The path of the Lötschberg passed under the Gästern Valley, 200 m below the valley floor. It was estimated that the alluvial sediments extended 100 m below surface leaving 100 m of strong limestone to form the roof of the tunnel.

In actuality, the buried valley reached depths of more than 185 m. By July 24, 1908, the tunnel had advanced such that only a thin wall of rock divided the working-face from the buried valley. Within seconds of that morning's blast, 40,000m³ of water saturated sediments swept into the tunnel killing 25 men and filling the tunnel for a distance 1.25 km.

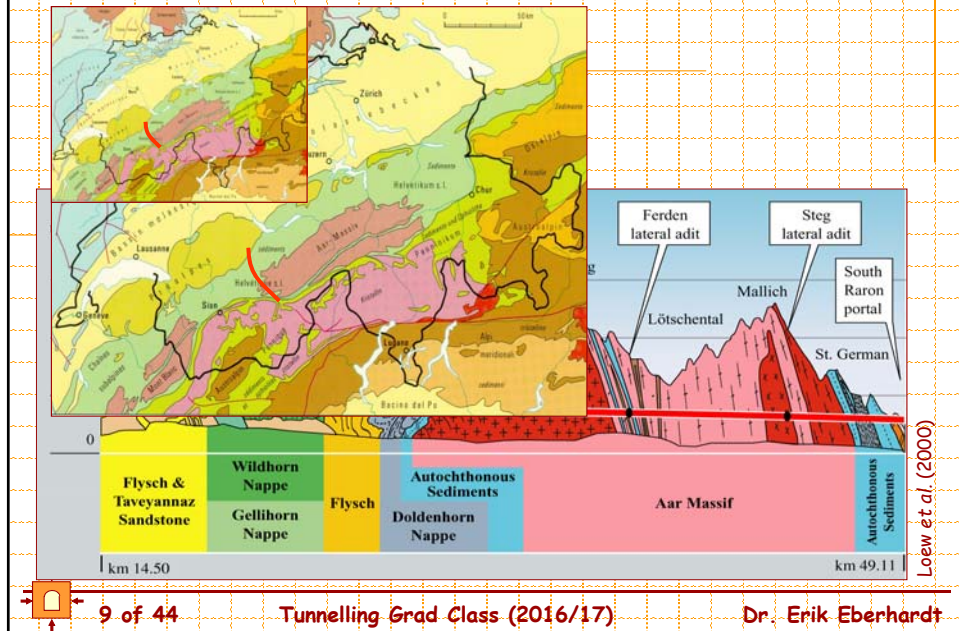


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Lötschberg Base Tunnel: Geological Prognosis



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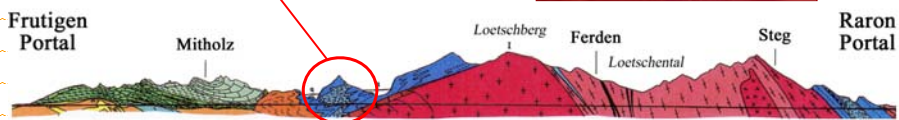
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Geological Challenges: Lötschberg Base Tunnel

Karst:

Given the porous nature of karst, large volumes of water and a high risk of water ingress was expected over a section about 3 km long. It was constantly necessary to carry out preliminary boring in order to discover whether any large, water-filled karst sink-holes might endanger the tunnel driving operations.

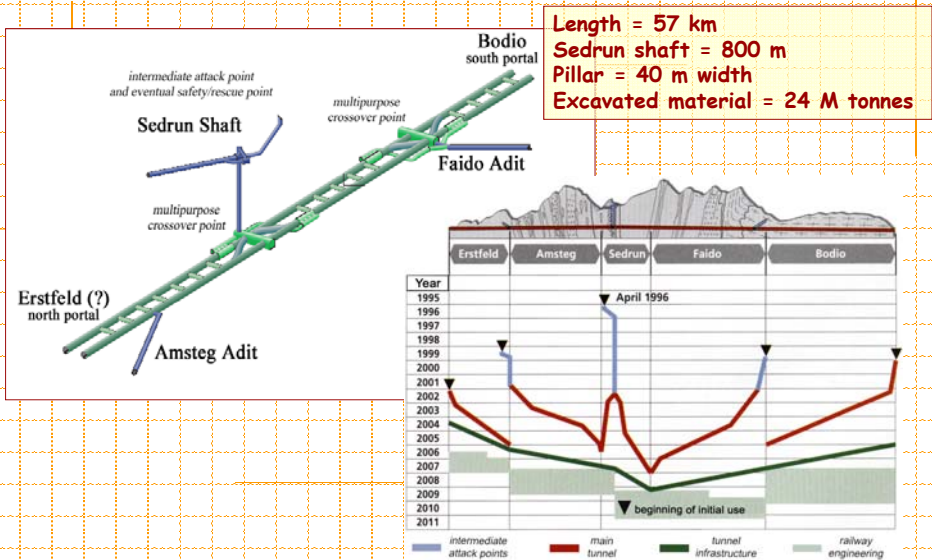


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Gotthard Base Tunnel

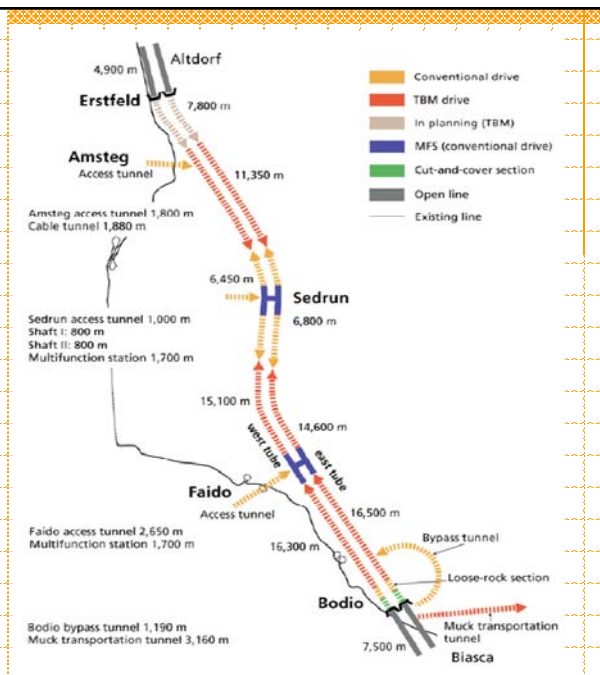


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Gotthard Base Tunnel

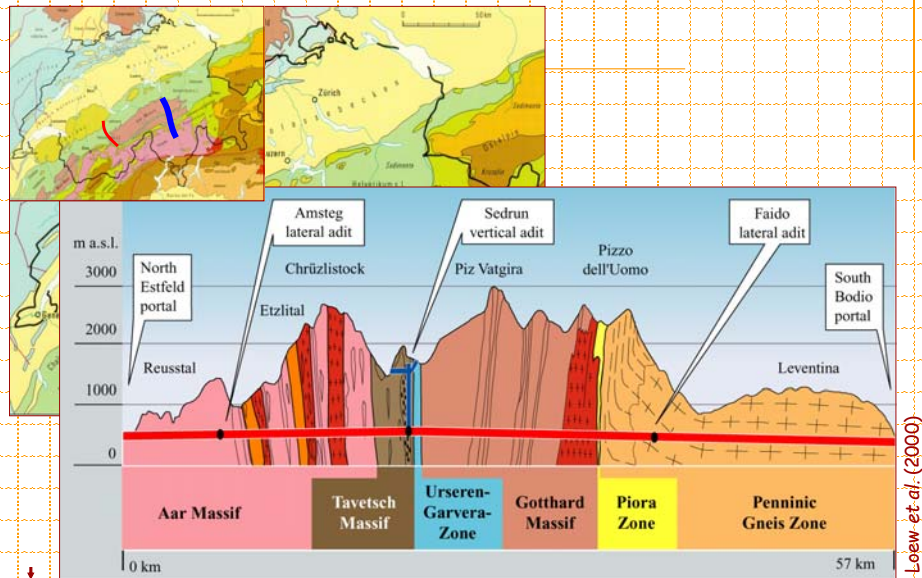


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Gotthard Base Tunnel: Geological Prognosis



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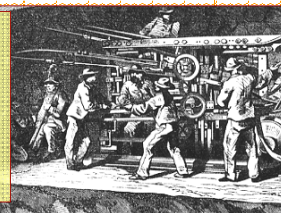
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Geological Challenges: Gotthard Base Tunnel

Geologic Unit	Potential Key Hazard	Tectonic Unit	Max. Length
Cataclastic Faults	High pressure water inflow.	Crystalline Massifs, Penninic Gneisses	~100 @ 5m
Weak Rocks (Phillites, Schists, Cataclasites)	Strongly squeezing ground.	Crystalline Massifs	1.3 km
Granites	Rockburst.	Crystalline Massifs	>14 km
Sugar Grained Dolomites	Water saturated debris inflow, cohesionless rock.	(Par)autochthonous Triassic Sediments	~200 m

Loew et al. (2000)

The first Gotthard rail tunnel was constructed between 1872-1882, and cut the travel time from Zurich to Milan from 27 to 5.5 hours. However, 310 men died and 877 were incapacitated during construction of the 14.9 km tunnel. Numerous challenges and harsh conditions were encountered, many of which were augmented by the equally harsh contract signed by the tunnel designer Louis Favre.

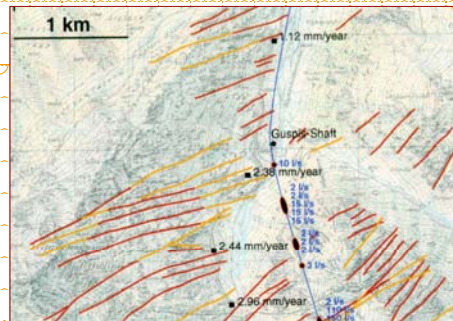


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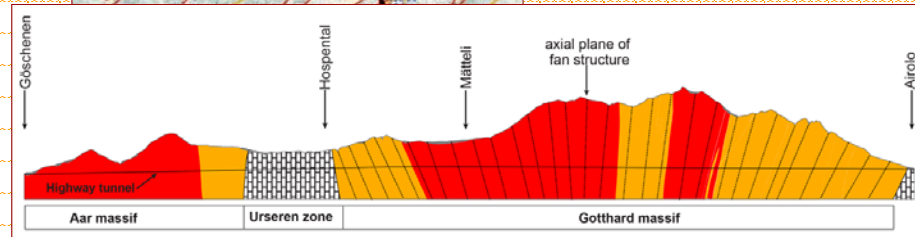
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Geotechnical Challenges: Known Knowns



Brittle Fault Zones

Zangerl et al. (2006)

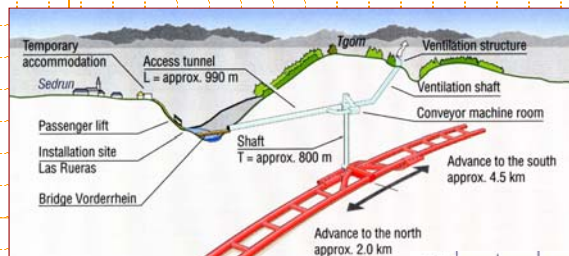


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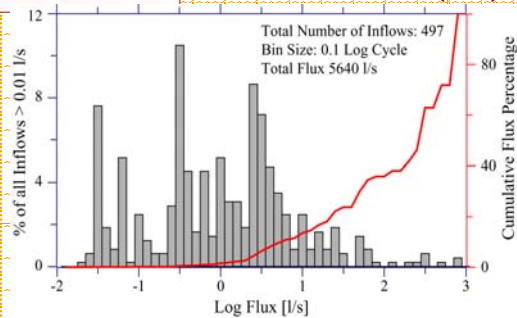
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Brittle Fault Zones - Water Inflows



Loew et al. (2000)

Fault zones may form highly permeable conduits for groundwater, leading to tunnel inflows. Encountering large quantities of water may lead to flooding of the excavation, especially if there is no outlet for the water to drain to.

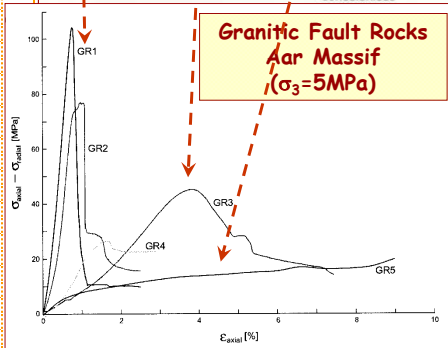
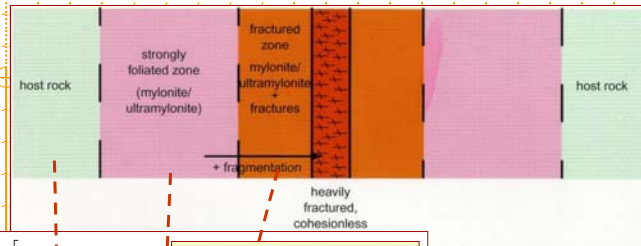


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Brittle Fault Zones - Weak Ground



Laws et al. (2003)



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Brittle Fault Zones - Ground Support

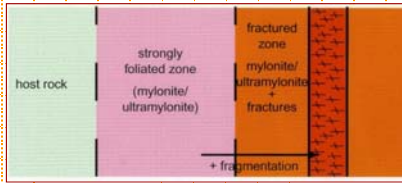


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Amsteg (June 2005) - West TBM

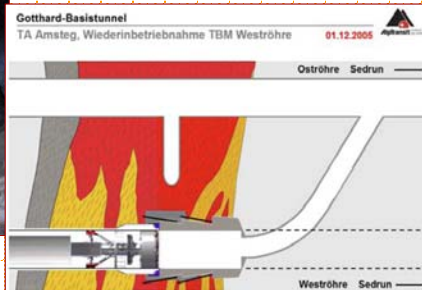


... West TBM crosses hydrothermally altered rock adjacent to fault zone, encounters flows of 2-3 l/s that flushes loose material into the cutter head.



... 5 month delay,
\$10 million cost.

Ehrbar (2008)



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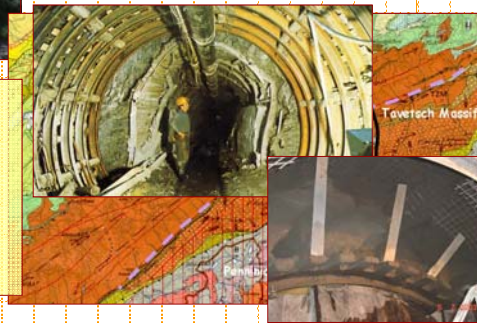
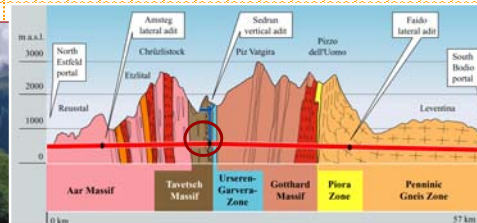
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Geotechnical Challenges: Known Knowns



Squeezing Ground - Tavetsch Massif

Squeezing ground refers to weak, plastic rock material which displaces into the tunnel excavation under the action of gravity and induced stresses. This squeezing action may result in damage/failure to the ground support system, or require the costly re-excavation of the tunnel section.

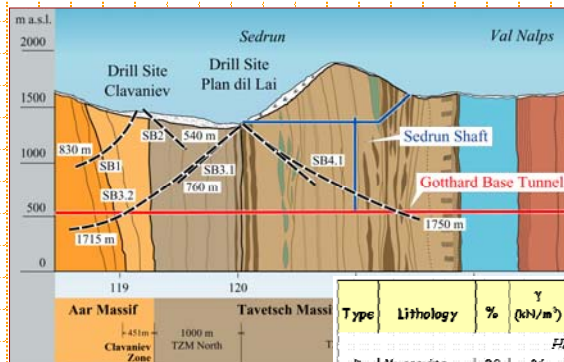


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Geotechnical Challenges: Squeezing Ground



Ehrbar & Pfenninger (1999)

Type	Lithology	%	γ (kN/m ³)	E GPa	ν [-]	ϕ Peak [°]	ϕ Res [°]	c Peak [kPa]	c Res [kPa]
<i>Hard and brittle rocks</i>									
D	Muscovite Gneiss	29	26	25	0.20	36	34	2000	500
<i>Soft and ductile rocks</i>									
F	Schist	8	26	15	0.20	30	30	150	150
G	Phyllite	1	26	10	0.25	28	28	100	100
<i>Ductile Cataclastic Rocks</i>									
D	Cataclastic Gneiss	24	24 - 26	20	0.25	34	34	400	400
F	Cataclastic Schist	14	24 - 26	12	0.20	30	30	120	120
G	Cataclastic Phyllite	1	24 - 26	8	0.25	28	28	75	75
H, J	Cohesionless Cataclastic	23	24 - 26	2-7.5	0.30	24	26	75	250

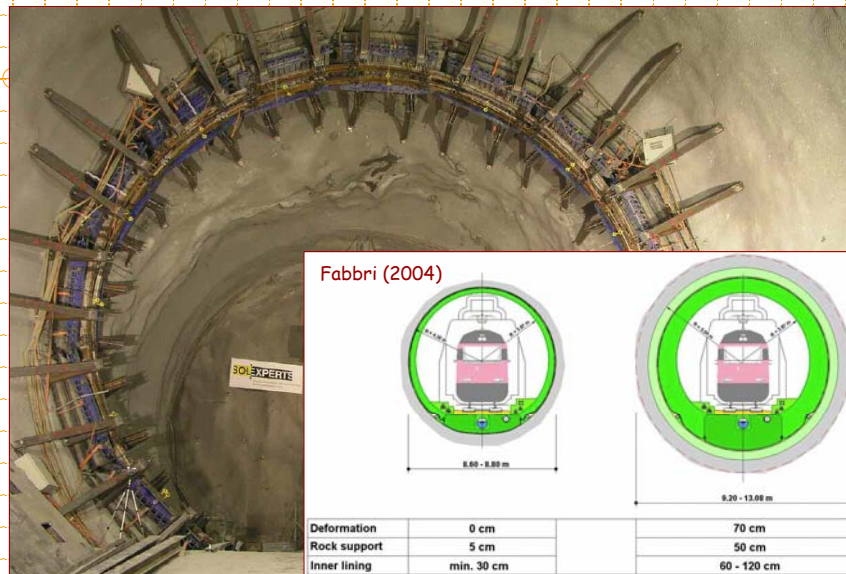


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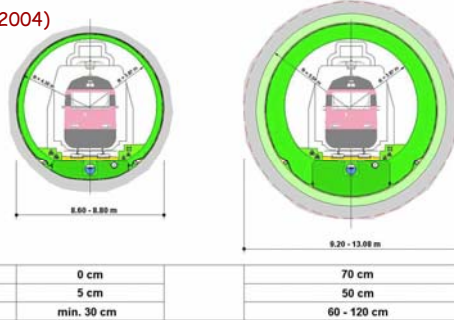
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Squeezing Ground Support



Fabbri (2004)

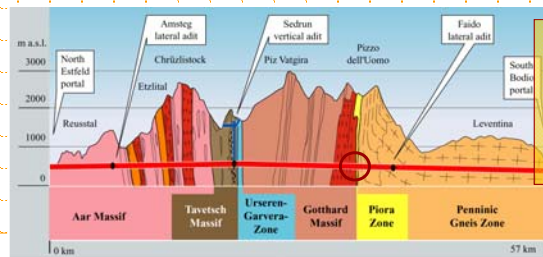


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Geotechnical Challenges: Known Unknowns



Where ground possesses the ability to flow freely (i.e. "running ground"), for example with water saturated cohesionless materials, then special support and control difficulties can arise.



Sugar-grained dolomites (granular & cohesionless).

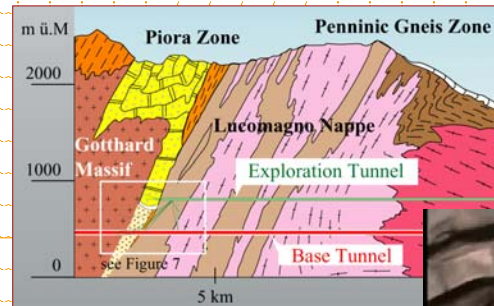


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Geotechnical Challenges: Running Ground



Sugar-grained dolomites (granular & cohesionless).



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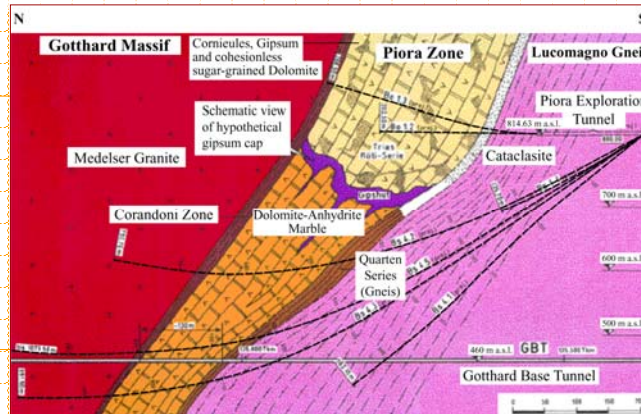
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Geotechnical Challenges: Running Ground

The tunnel will cross the Triassic Piora Zone, a highly weathered and fractured aquifer under high hydraulic pressure. Based on exploratory drilling, it was found that the tunnel will pass ~250 meters below the base of the aquifer through unweathered and unfractured dolomite/anhydrite-sequences.

Considered the greatest geological risk to the feasibility and success of the tunnel project, in Nov. 2008 the TBM passed safely through the Piora.

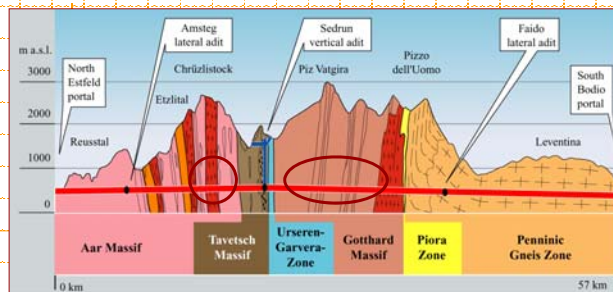


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Geotechnical Challenges: Known Unknowns



Rockbursts involve sudden releases of stored strain energy through the failure of strong rock. They manifest themselves through the sudden ejection of rock into the excavation. Rockbursting potential is of special concern in cases of deep tunnelling where high stress concentrations form due to overburden loads or tectonic activity.

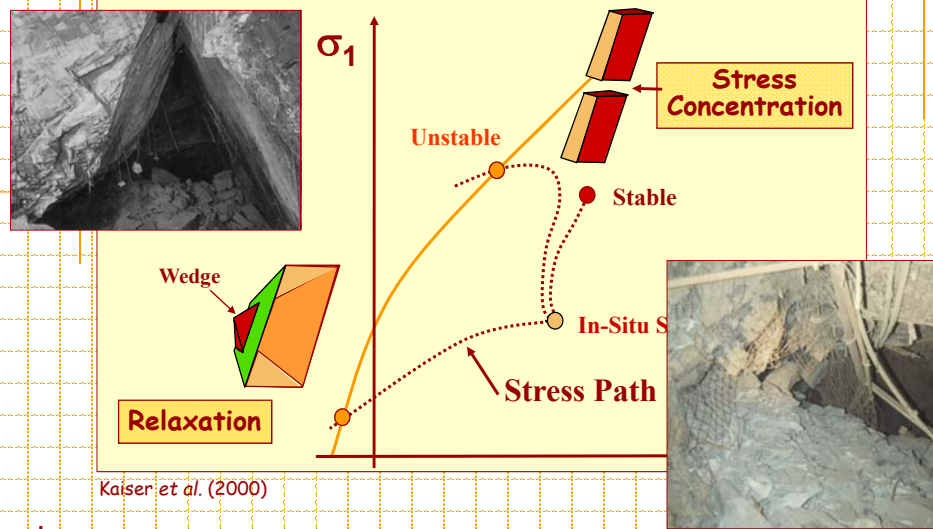


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Stress-Controlled Failure & Stress Path



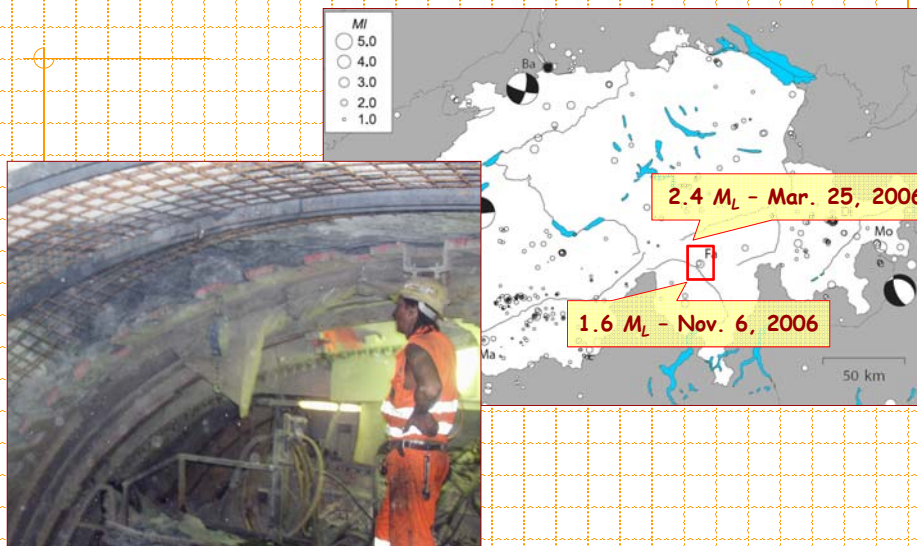
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High Overburden - Spalling & Rock Bursting

Baer *et al.* (2007)

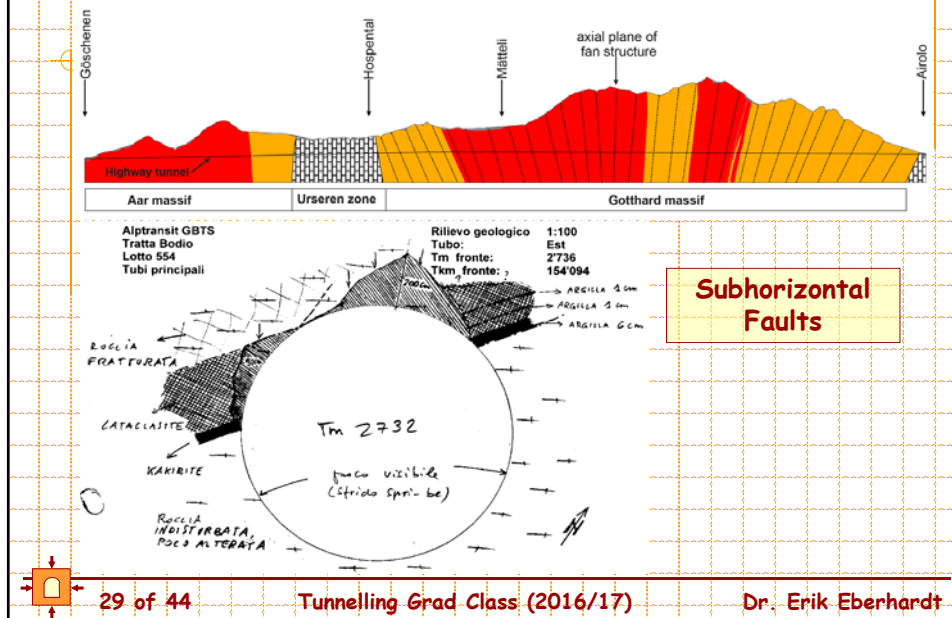


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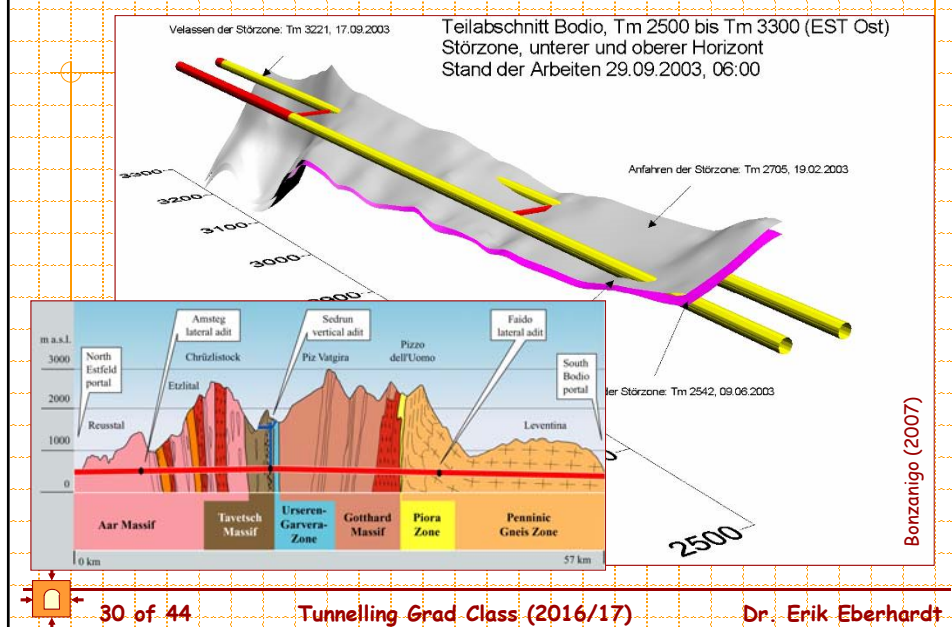
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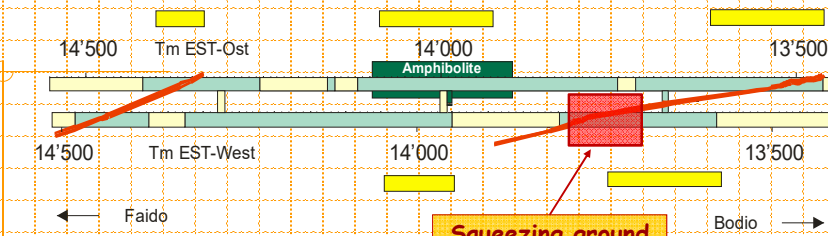
Geotechnical Challenges - Unknown Unknowns



Faido-Bodio: "Unexpected" Faults



Faido-Bodio: "Unexpected" Faults



Squeezing ground blocks TBM

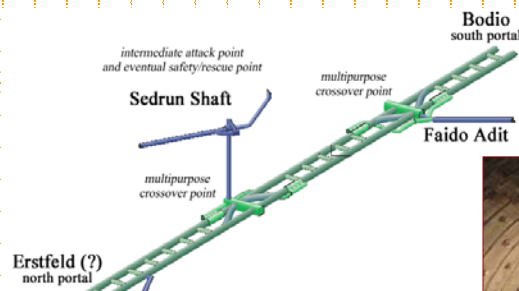
The total delay for passing through these faults along this section: ... one year.

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Fault Zones & the Faido Cross-Over



Ehrbar (2008)



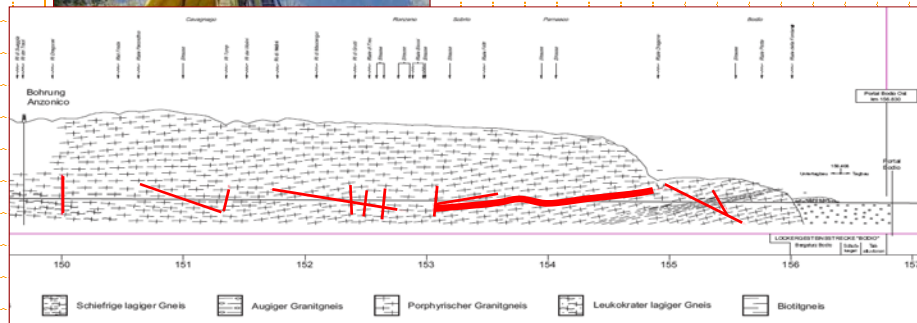
Similar ground conditions (i.e. unexpected faults) at the Faido multipurpose cross-over station were responsible for a further 2-year delay and was over budget by more than 200%.

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Faido-Bodio: "Unexpected" Faults



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"Unexpected" Subsidence in Crystalline Rock

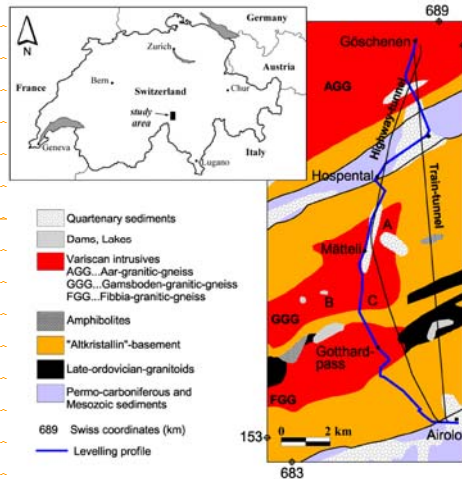


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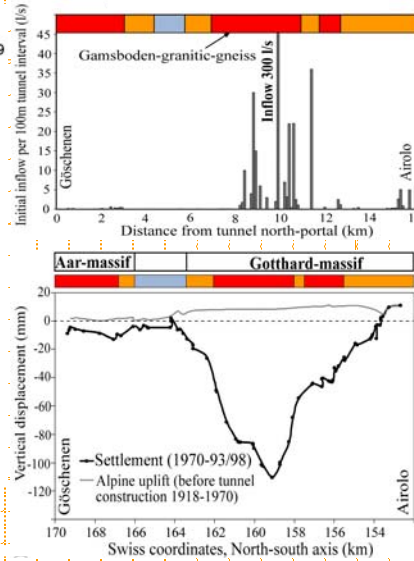
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"Unexpected" Subsidence in Crystalline Rock



Zängerl *et al.* (2008)

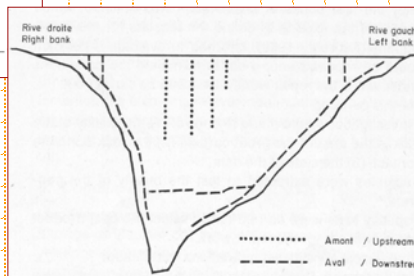
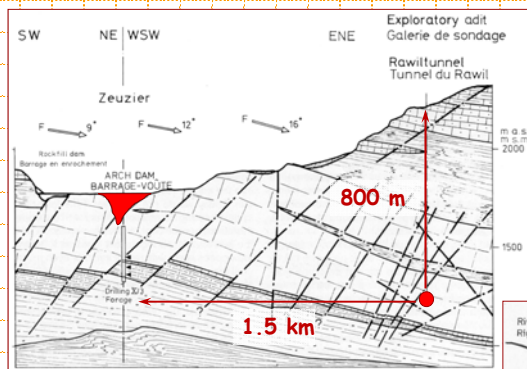


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"Unexpected" Subsidence in Crystalline Rock



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"Unexpected" Subsidence in Crystalline Rock

Geological cross-section showing the Aar massif, Urseren zone, and Gotthard massif. A highway tunnel is shown in the Aar massif. Labels indicate geological features: Göschenen, Hospental, Matteli, axial plane of fan structure, and Airolo.

Fluid pressure change
 Δp

Effective normal stress
 $\Delta \sigma_n' = \Delta \sigma_n - \alpha_f \Delta p$
 $\sigma_n = \text{constant}$

Fracture normal deformation
 $\Delta u = \frac{\Delta \sigma_n'}{k_n}$

Hydraulic aperture
 Δa_h

Fracture fluid flow
 $Q = \frac{ga^3}{12\nu} \frac{dp}{dl} w$

Diagram illustrating the relationship between normal stress (σ_n) and shear stress (τ_s) on a fracture surface. The diagram shows a fracture zone between two intact rock blocks, with normal stress acting perpendicular to the fracture and shear stress acting parallel to it.

$\Delta \sigma_n' = \Delta \sigma_n - \alpha_f \Delta p \Rightarrow$ normal deformation (i.e. closure)
 \Rightarrow dilation due to shear

$\sigma_n \neq \text{constant} \Rightarrow$ "Poisson ratio" effect

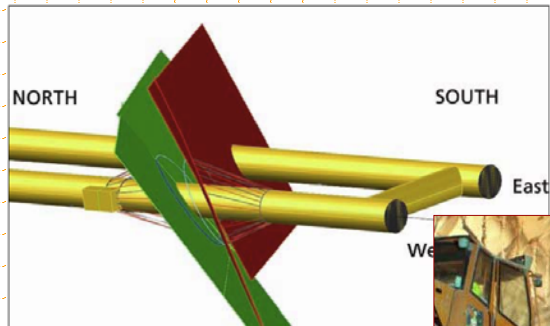
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"Unexpected" Subsidence in Crystalline Rock



Grouting of fault zone
with 13 l/s inflow,
near Nalps Dam.

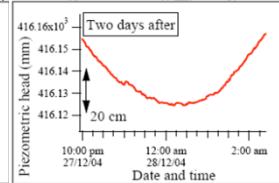
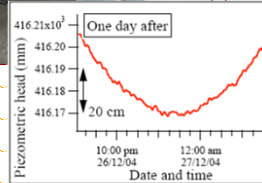
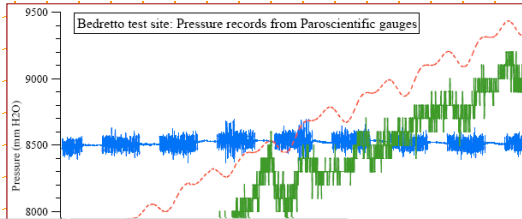


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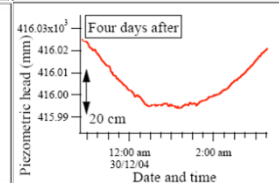
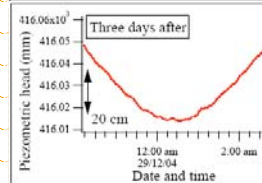
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"Unexpected" Subsidence in Crystalline Rock



Air pressure (Paros 230A)		
Water head - 4.90E5 (Paros 9000)		
Water head - 4.80E5 (Keller DKS2)		
0:00	0:00	0:00
23.7.02	24.7.02	25.7.02



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GBT: Other Geological Challenges



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GBT: Other Geological Challenges



Given that tunnel overburden will exceed 2000m, temperatures as high as 45°C are projected. In addition, silicosis, an incurable disease of the lungs, caused by the unprotected respiration of quartz dust presents a potential hazard to workers. Ventilation designs must account for both factors to ensure worker safety.

More than 13 million m³ of waste rock will be generated, leading to environmental issues as to where to put it. At the same time, the extraction of gravel resources for concrete in the Swiss midlands is becoming more difficult. The solution, therefore, was to specially break, sort & wash the waste rock so that it could be used for concrete aggregate.



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Lecture References

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